

**Nuclear Theory in the Supercomputing Era –(NTSE-2016)**  
September 19–23, 2016, Pacific National University, Khabarovsk, Russia



# Continuum DFT Studies of Exotic Nuclei and Dynamics



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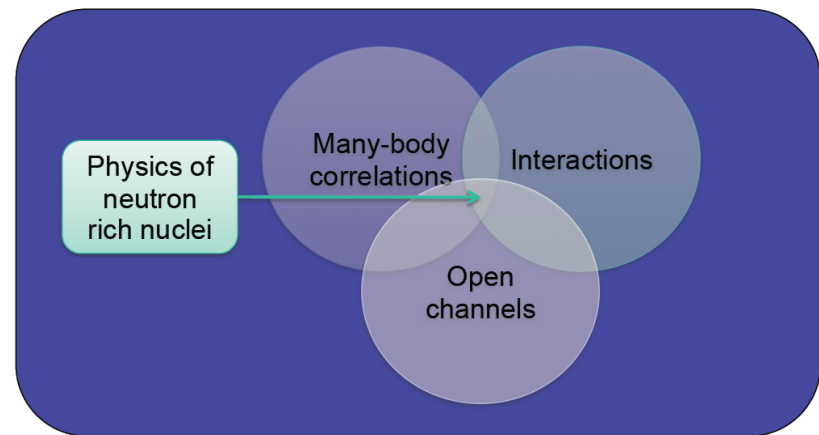
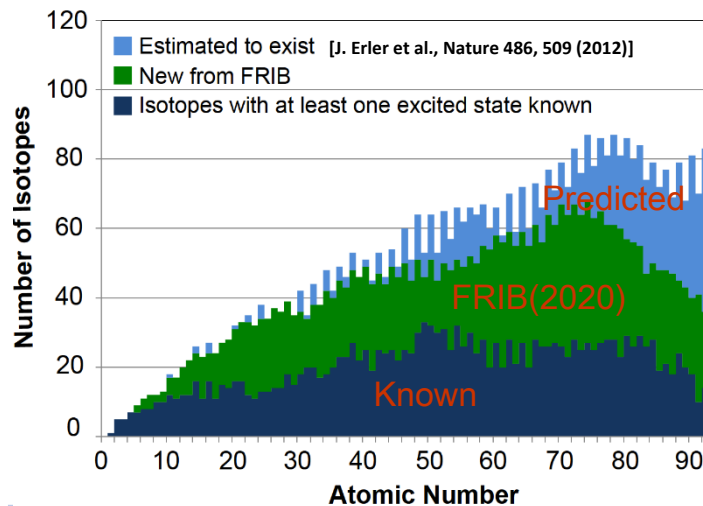
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- Introduction and motivations
- Coordinate-space HFB developments
- Ground state studies of weakly-bound nuclei
- Excited state studies of weakly-bound nuclei
- Summary

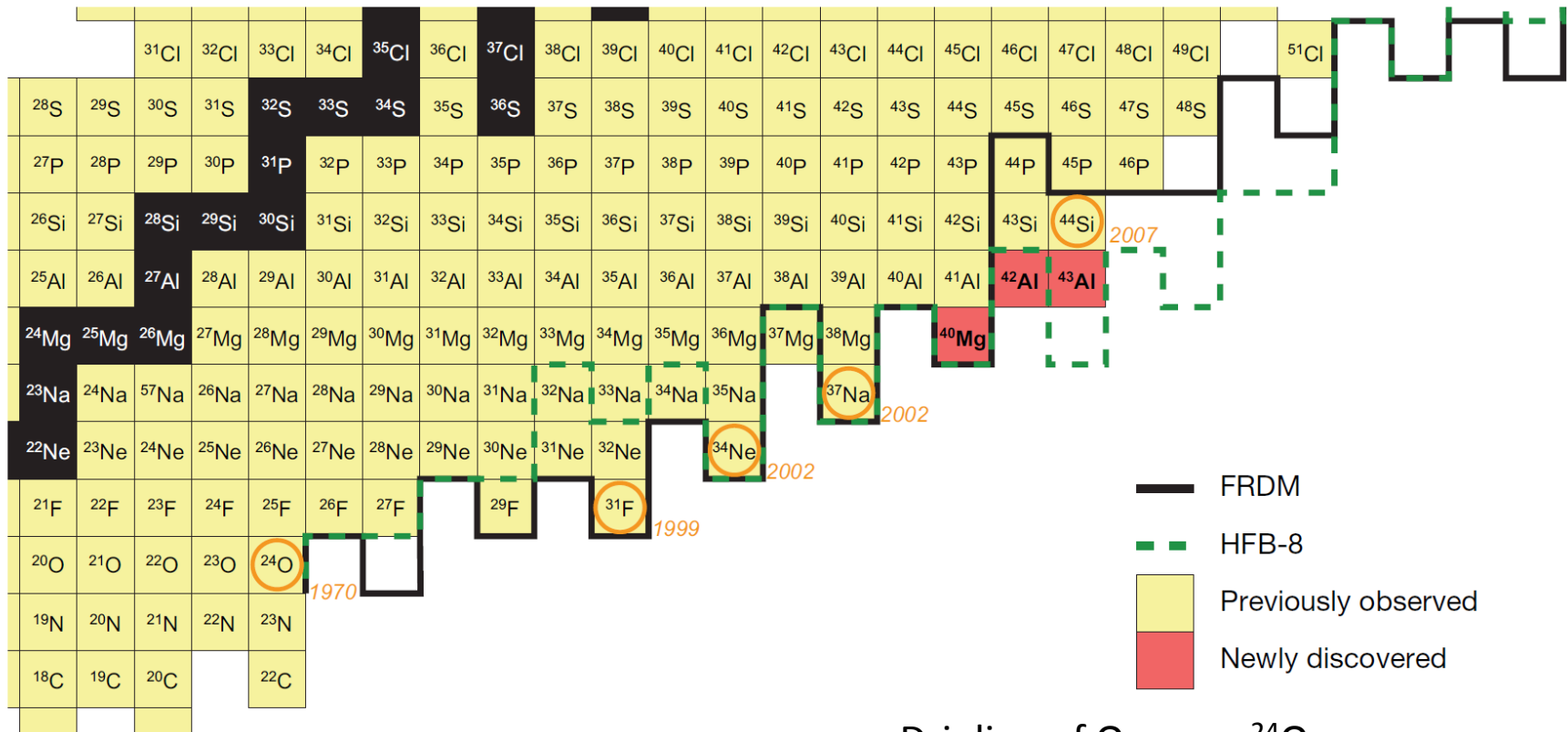


# Introduction

- Radioactive nuclear beams (e.g., FRIB, HIAF, Beijing-ISOL) can provide vast opportunities to study nuclear physics at extreme conditions: **weakly bound, superheavy, high excitations, high densities**
- Interesting physics of dripline nuclei: weakly bound effects, shell evolutions, 3-body forces, continuum effects, soft excitation modes, clustering, breakup, r-process.....
- What we can learn from exotic nuclei studies: effective nuclear forces, many-body physics, and new insights.



# The dripline



- Dripline of Oxygen:  $^{24}\text{O}$
- RIKEN: (Dec 2014 Expt with  $^{48}\text{Ca}$ )  
 $^{33}\text{F}$ (very likely unbound),  $^{36}\text{Ne}$ (not found),  
 $^{39}\text{Na}$ (1 event)

*Nature* **449**, 1022 – 1024 (25 Oct 2007).

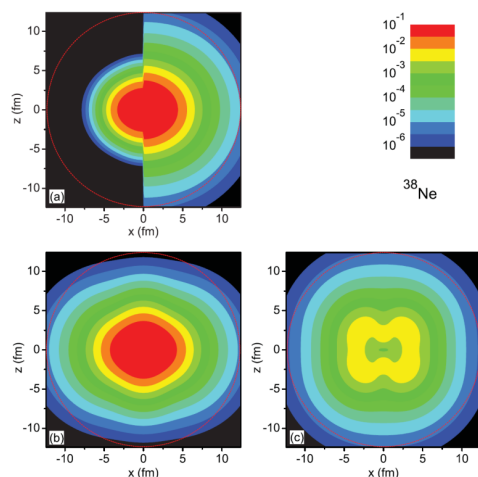


# Exotic halo structures

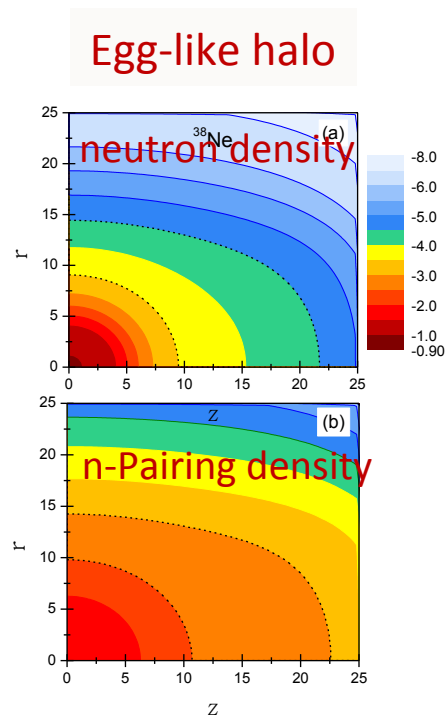
- 2D Lattice HFB and MPI+OpenMP parallel calculations

Core-halo deformation decoupling; in principle various halo structures exist

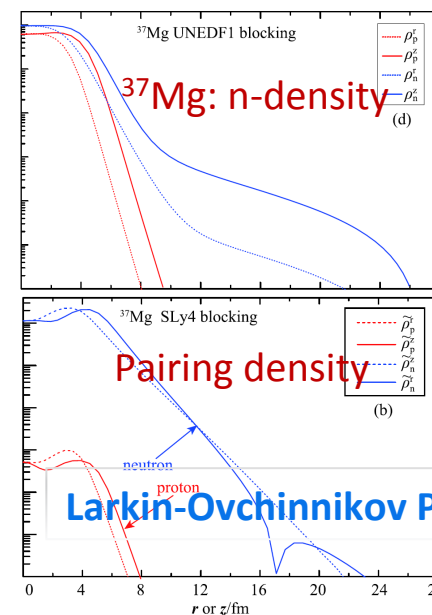
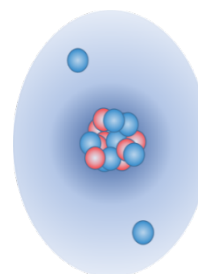
Expt:  $^{11}\text{Be}$ ,  $^{31}\text{Ne}$ , and  $^{37}\text{Mg}$



Relativistic Hartree-Bogoliubov  
S.G. Zhou, Meng, Ring, Zhao,  
2010 PRC 82-011301R



Pei, Y.N. Zhang, F.R. Xu, PRC 87,  
051302R(2013)

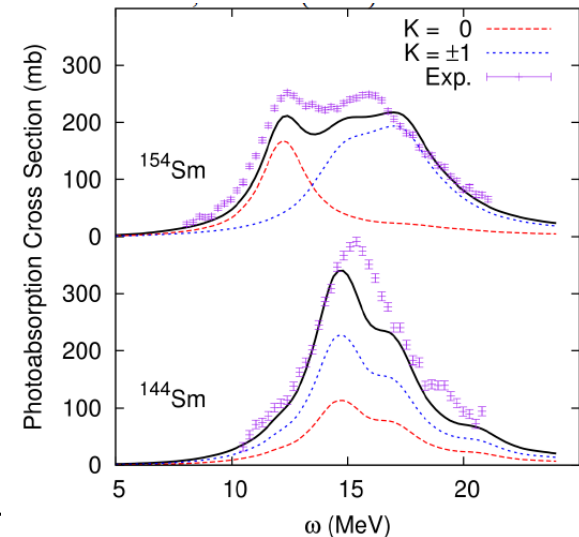
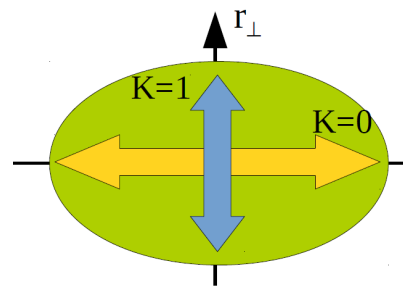
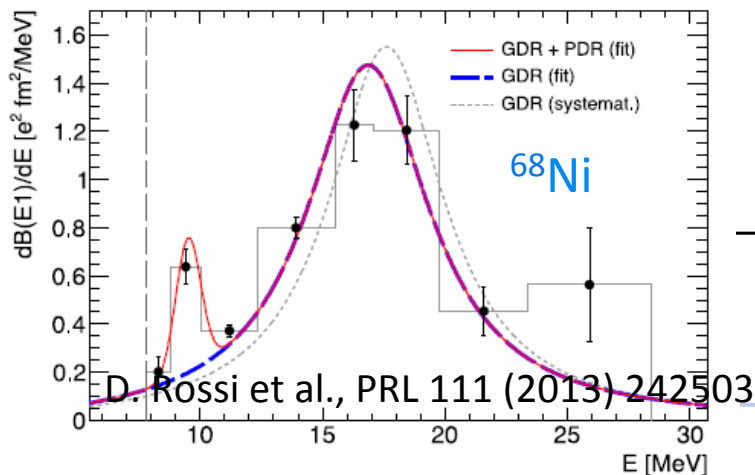
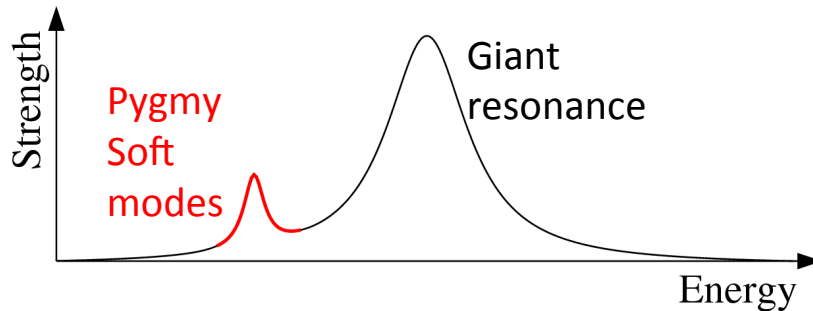
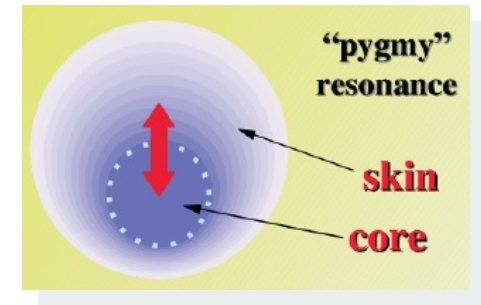


Xiong, Pei, Zhang, Zhu,  
Chin. Phys. C 40,  
024101(2016)



# Collective excitations of weakly bound nuclei

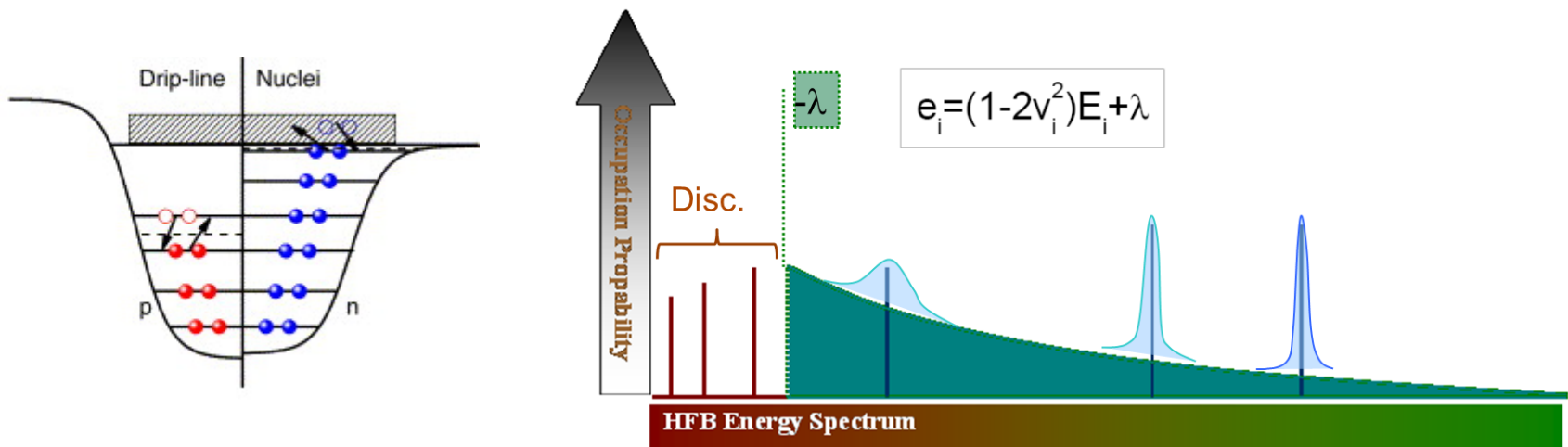
- Novel relative motion between halo/skin and core: collective or non-collective?
- Enhance astrophysical neutron capture rates
- Related to **deformation**, **continuum**, **neutron skin/halo**, symmetry energy, incompressibility...



(T. Oishi, et.al, Phys. Rev. C 93, 034329 (2016))

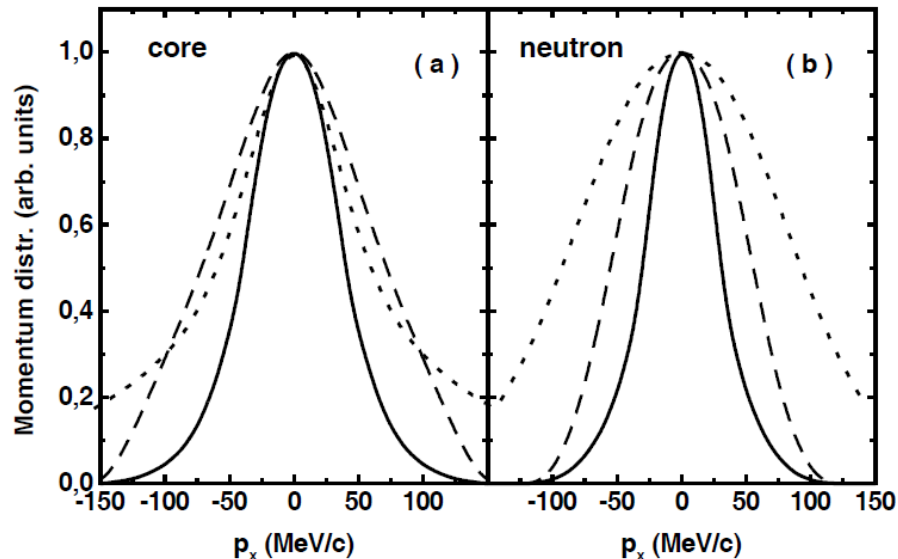
# Continuum effects in DFT

- Continuum coupling: pairing induced
- Weakly-bound s.p. states coupled with continuum: **resonances**
- Near-threshold **non-resonant** continuum is important for halos
- Continuum approximation: superfluid Thomas-Fermi approximation
- Enhance stability; enhance halo features; enhance coherent collectivity?



# Continuum effects in reaction

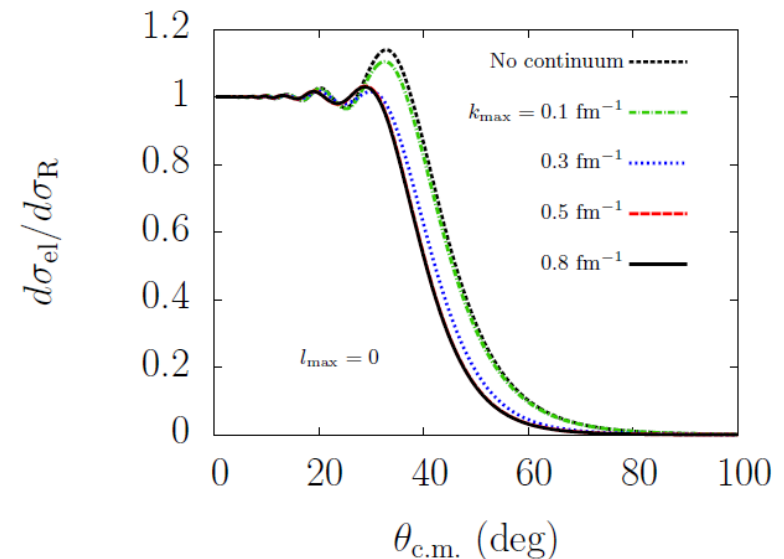
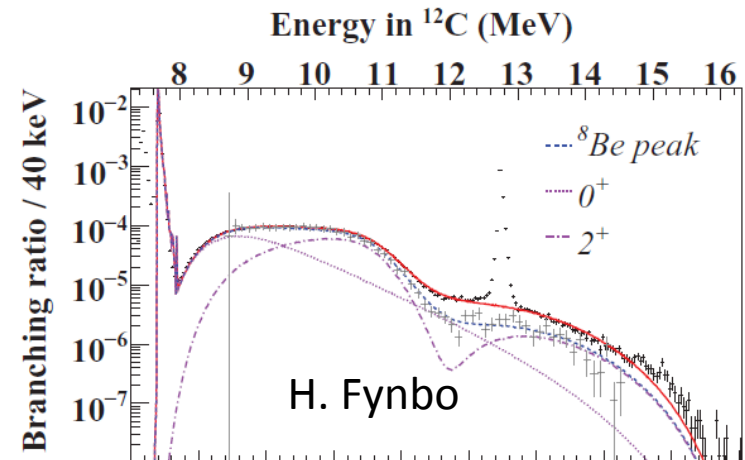
- $^6\text{He}$ : FSI in momentum distribution
- Breakup, elastic scattering, transfer...
- Continuum effect (ghost) in  $^{12}\text{C}$



S.N. Ershov, et al, PRL 1998

J. Al-Khalili & F. Nunes, JPG 2003

T.Druet, P. Descouvemont, EPJA,2012





# Continuum HFB approaches

- Current progress: particularly difficult for deformed weakly bound nuclei

- Diagonalization on single-particle basis :THO, woods-saxon, PTG, Gamow...
- Direct diagonalization on 2D coordinate-space lattice (Pei et al, PRC 2008)
- Outgoing boundary condition: *difficult for deformed cases*

*H. Oba, M. Matsuo, PRC, 2009, self-consistent calculations are needed*

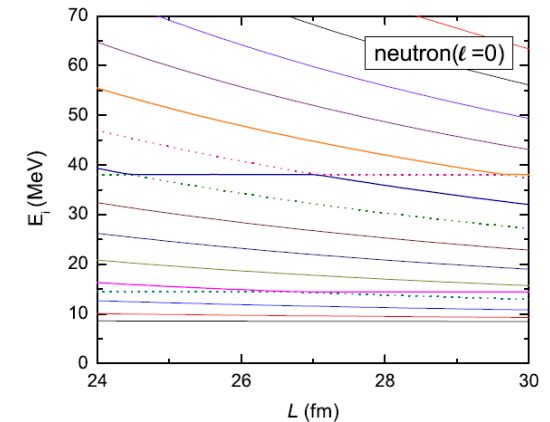
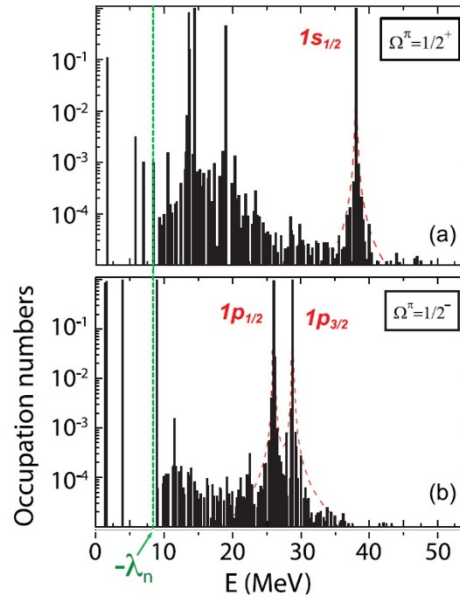
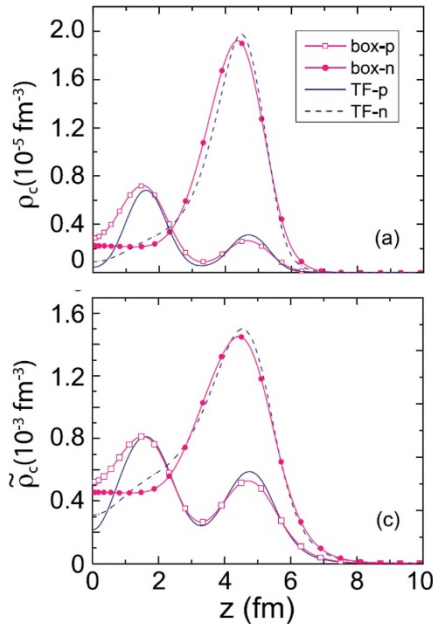
- Coordinate-space HFB has advantages for describing weakly-bound systems and large deformations

- *Bound states, continuum and embedded resonances are treated on an equal footing;  $L^2$  discretization leads to a very large configuration space*
- Computing resources and capabilities are increasing exponentially



# continuum discretization treatment

- Non-resonant continuum check with Thomas-Fermi approximation



- Estimate HFB resonance widths with box stabilization method

Pei, Kruppa, Nazarewicz, PRC, 2011

- Problem: broad resonance is expensive



# Large vs. small coordinate-spaces

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- Subtle interplay among surface deformations, surface diffuseness, and continuum needs precise HFB solutions.
- Large coordinate-space resulted in a vast number of continuum states and provides good resolutions for resonances and continuum (proportional  $L^3$ )
- Small box may not be sufficient for describing halo, pairing properties.
- Small box may be not good for broad resonances.
- Small box may cause false peaks in QRPA

M. Grasso, N. Sandulescu, Nguyen Van Giai, R. Liotta, PRC, 2001.

H. Oba and M. Matsuo, PRC, (2009)

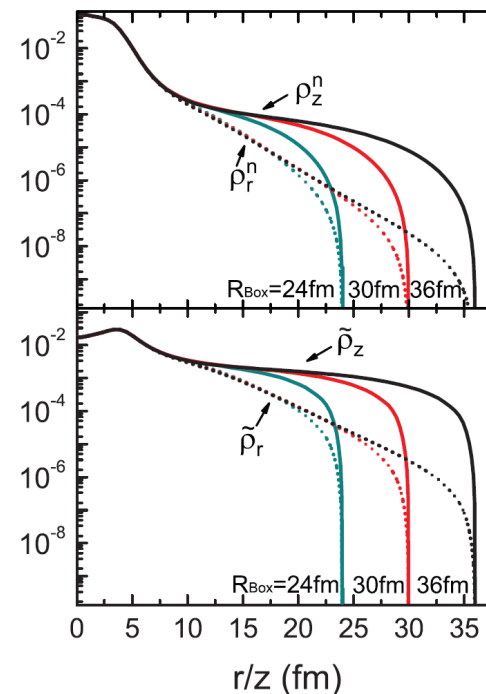
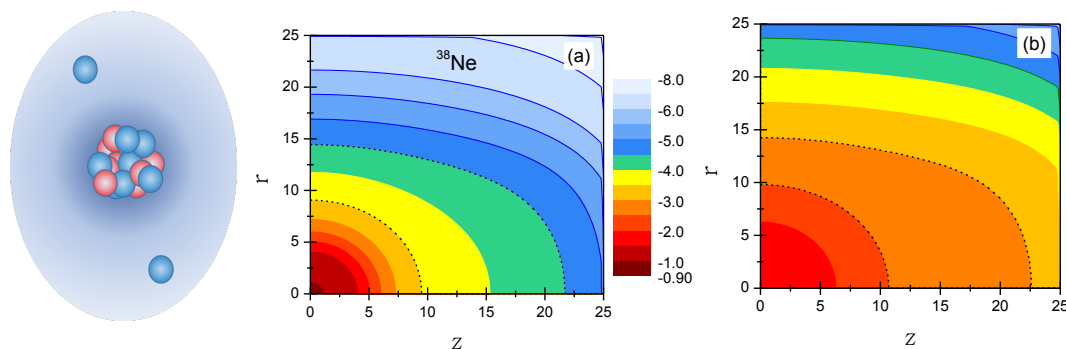
Zhang, Pei, Xu, PRC, 2013

However, from 20 fm to 40 fm, the estimated computing cost would be increased by 40 times.



# Exotic egg-like halo structure

- Self-consistent calculations: SLy4 force + density dependent pairing
- $^{38}\text{Ne}$ , (a) neutron density; (b) n pairing density
- About 2 neutrons in the halo
- Deformations:  $\beta_2 = 0.24$ ,  $\beta_{2,\text{pair}} = 0.48$
- Mainly contributed by near-threshold continuum



Isovector deformation: pygmy quadrupole resonances

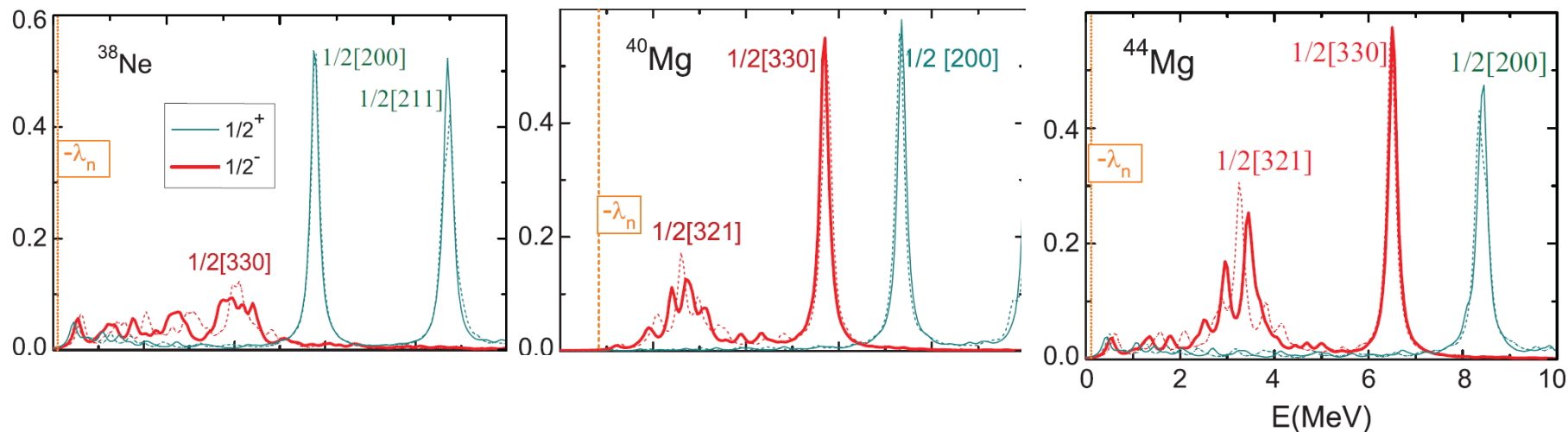
**New exotic “egg”-like halo structure obtained; accurate approach is essential**

J.P., Y.N. Zhang, F.R. Xu, PRC (R) 87, 051302(2013)



# Near-Threshold Continuum

- Different box calculations to distinguish resonances and continuum states
- Near threshold **non-resonant continuum** is responsible for halo and surface deformations
- No halo in  $^{40}\text{Mg}$  since no near-threshold continuum contributions ( $N=28$ )

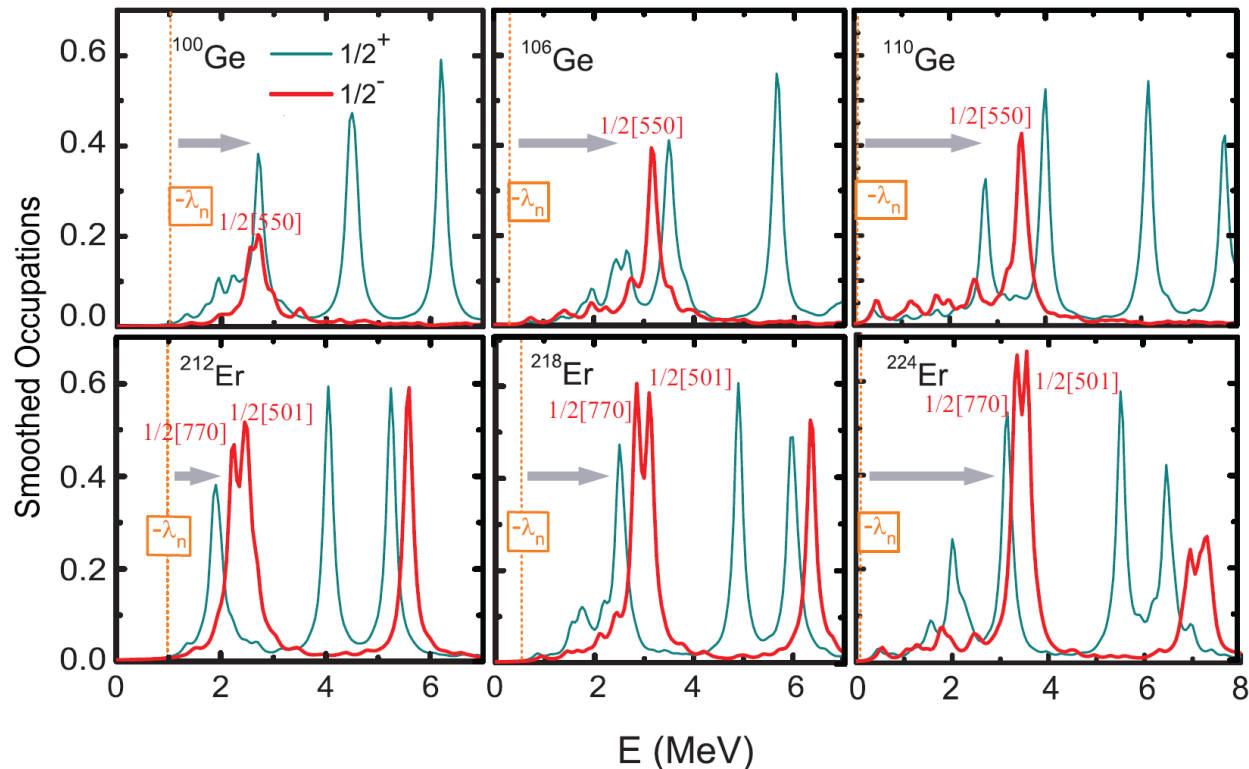


Smoothed neutron quasiparticle spectrum of  $\Omega\pi=1/2\pm$



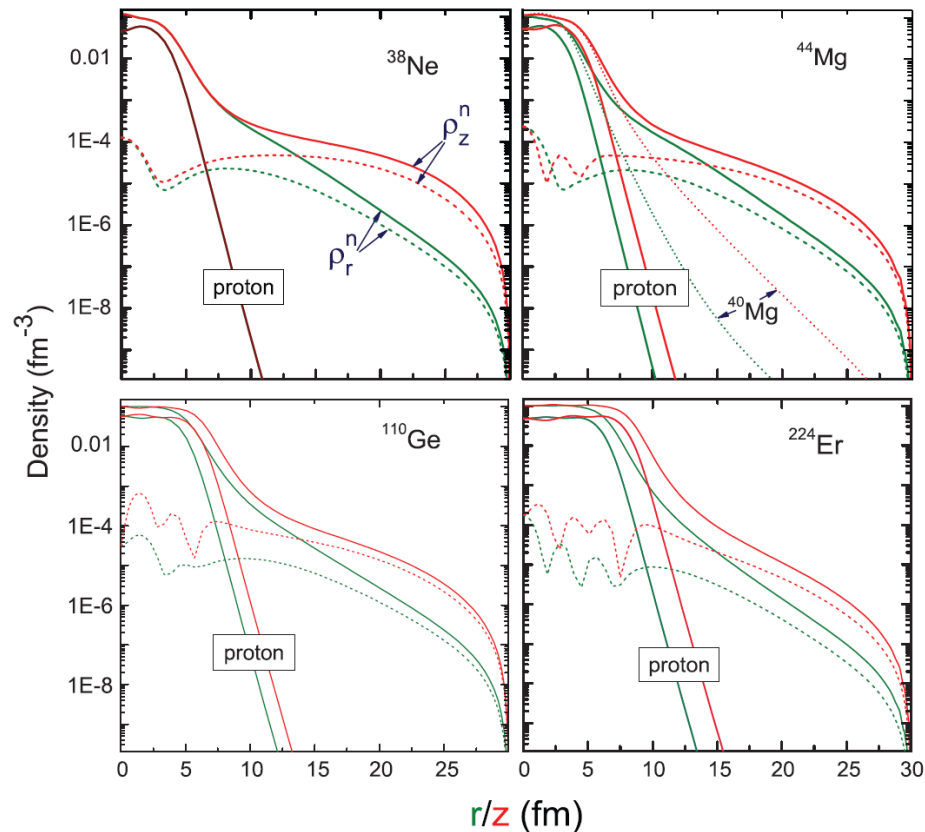
# Phase-space decoupling

- Non-resonant continuum states gradually grows and decouples in heavy nuclei
- sparse level density is crucial**; e.g. in  $^{110}\text{Ge}$ . Heavy halos are hindered due to denser levels.
- Core-halo decoupling is related to the **phase space decoupling** in quasiparticle spectrum



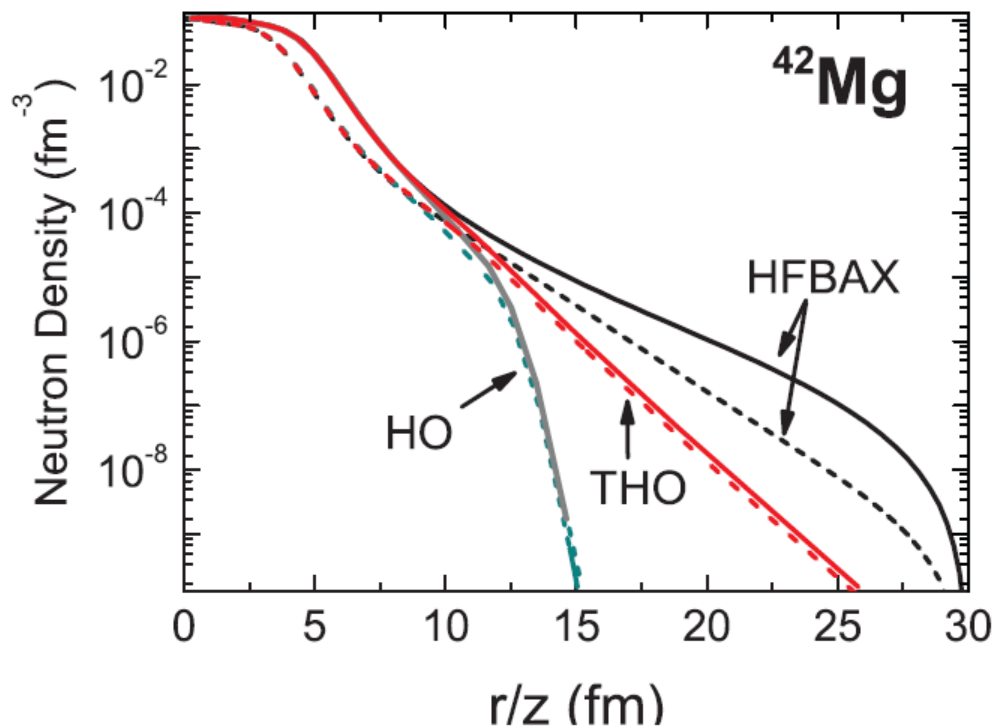
# Systematics of deformed halos

- 2n-Halo hindered by deformed cores (**seems correct**) *F. Nunes, NPA, 2005(3-body model)*, while deformed 1n-halo has been observed in  $^{11}\text{Be}$ ,  $^{31}\text{Ne}$ ,  $^{37}\text{Mg}$ .
- Heavy halos not likely existed; decoupling effect suppressed

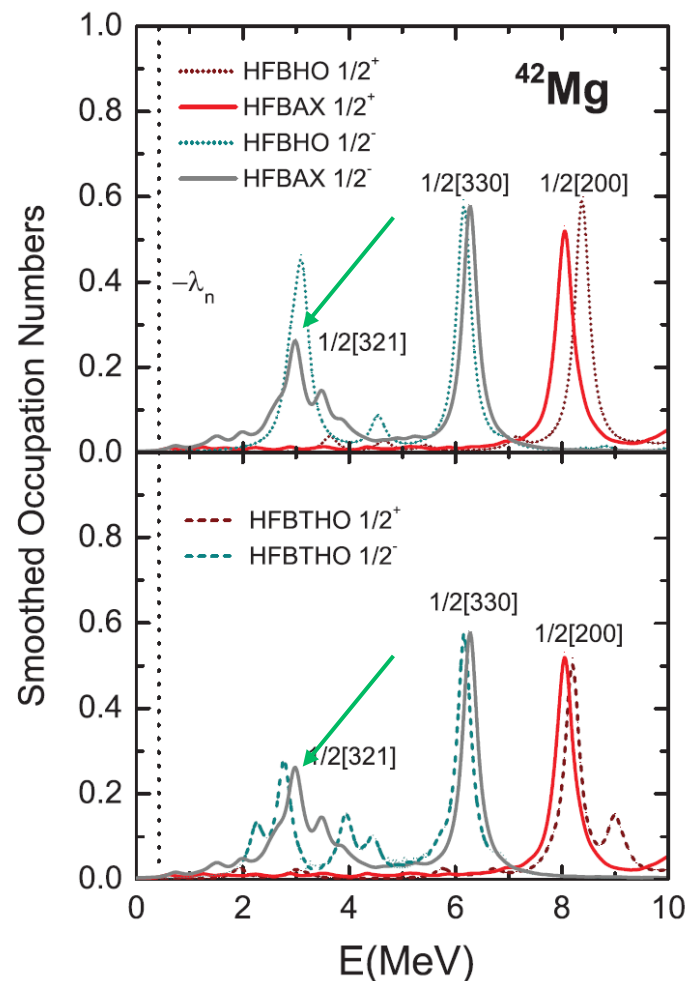


# Coordinate-space vs Basis expansion

- Different surface asymptotics;
- Broad quasiparticle resonance problem

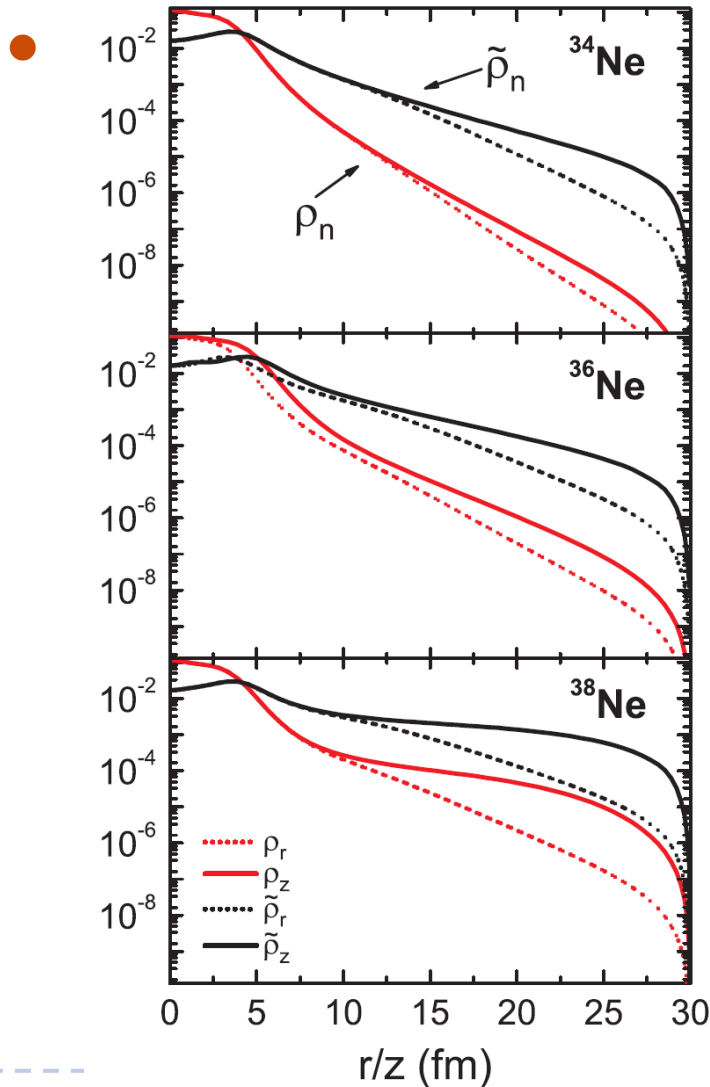


Y.N. Zhang, J.P., F.R. Xu, PRC **88**, 054305, 2013

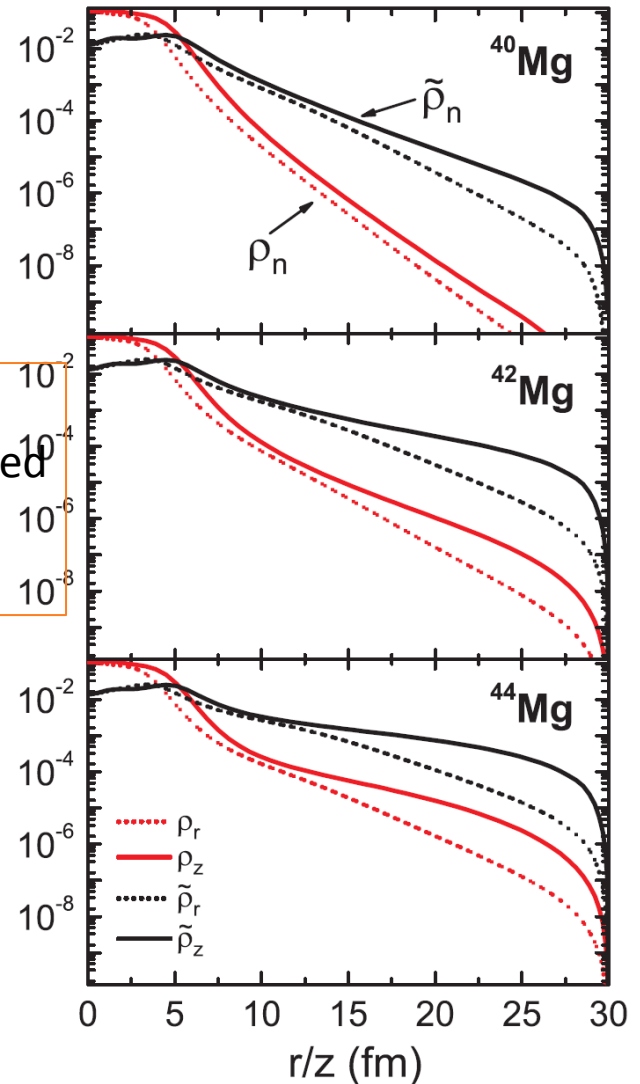




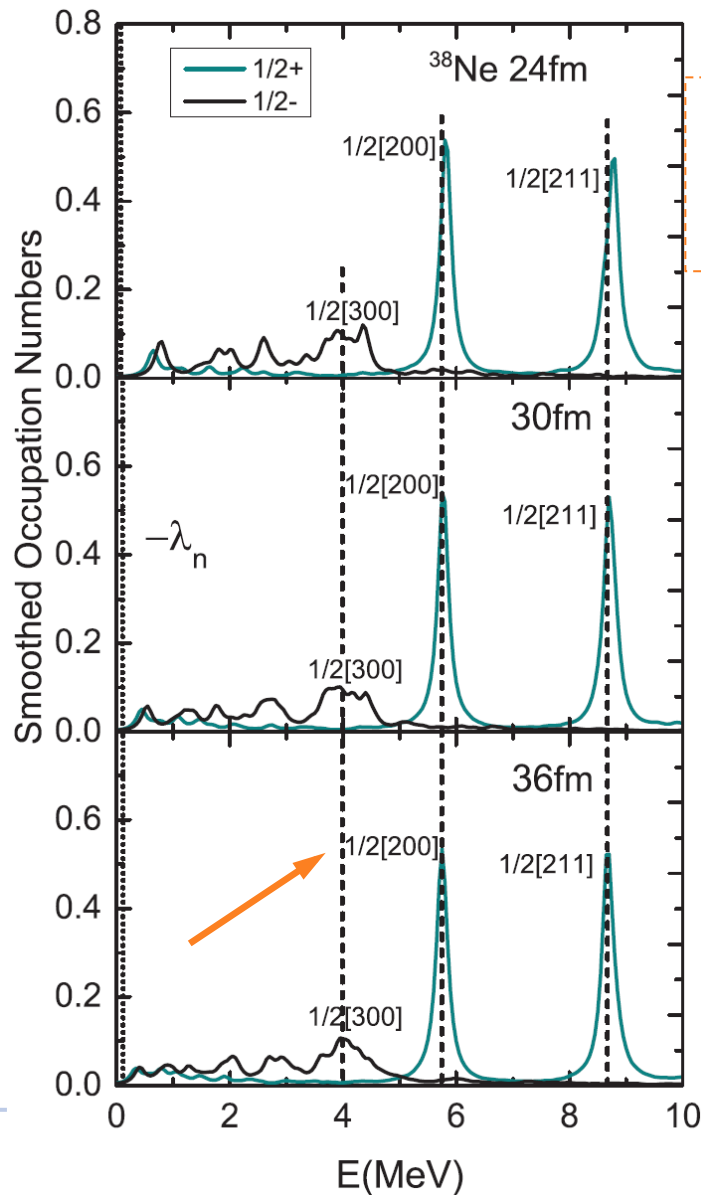
# Density and pairing density at surfaces



Huge deformed pairing halos, need large coordinate spaces

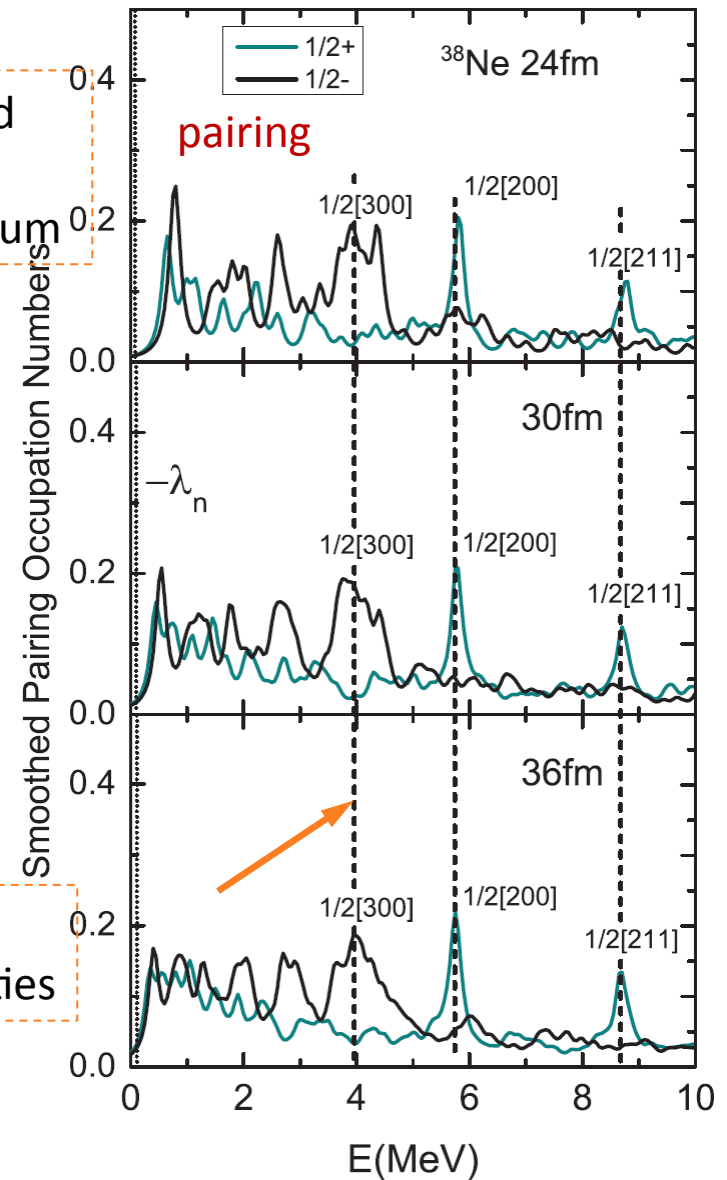


# Quasiparticle spectrum near threshold



describing broad resonances and non-res continuum

Problems in pairing properties



## 2<sup>nd</sup>-order superfluid Thomas-Fermi

- The 2<sup>nd</sup>-order Thomas-Fermi becomes complicated with pairing, can be formulated based on Green function expansion
- We derived the 2<sup>nd</sup> superfluid Thomas-fermi with effective mass and spin-orbit for nuclear calculations of continuum

$$\begin{aligned}
 \rho_2(\mathbf{R}, \mathbf{p}) = & \eta_1(h \overleftrightarrow{\Lambda}^2 h) + \eta_2(h \overleftrightarrow{\Lambda}^2 \Delta) + \eta_3(\Delta \overleftrightarrow{\Lambda}^2 \Delta) \\
 & + \eta_4(h(\overleftrightarrow{\Lambda} h)^2) + \eta_5(h(\overleftrightarrow{\Lambda} h)(\overleftrightarrow{\Lambda} \Delta)) \\
 & + \eta_6(h(\overleftrightarrow{\Lambda} \Delta)^2) + \eta_7(\Delta(\overleftrightarrow{\Lambda} h)^2) \\
 & + \eta_8(\Delta(\overleftrightarrow{\Lambda} h)(\overleftrightarrow{\Lambda} \Delta)) + \eta_9(\Delta(\overleftrightarrow{\Lambda} \Delta)^2) \\
 & + \eta_{10}(h \overleftrightarrow{\Lambda} \Delta)^2 + \frac{3h\Delta^2 h_{so}^2}{4E^5}, \\
 \tilde{\rho}_2(\mathbf{R}, \mathbf{p}) = & \theta_1(h \overleftrightarrow{\Lambda}^2 h) + \theta_2(h \overleftrightarrow{\Lambda}^2 \Delta) + \theta_3(\Delta \overleftrightarrow{\Lambda}^2 \Delta) \\
 & + \theta_4(h(\overleftrightarrow{\Lambda} h)^2) + \theta_5(h(\overleftrightarrow{\Lambda} h)(\overleftrightarrow{\Lambda} \Delta)) \\
 & + \theta_6(h(\overleftrightarrow{\Lambda} \Delta)^2) + \theta_7(\Delta(\overleftrightarrow{\Lambda} h)^2) \\
 & + \theta_8(\Delta(\overleftrightarrow{\Lambda} h)(\overleftrightarrow{\Lambda} \Delta)) + \theta_9(\Delta(\overleftrightarrow{\Lambda} \Delta)^2) \\
 & + \theta_{10}(h \overleftrightarrow{\Lambda} \Delta)^2 + \frac{(2h^2 - \Delta^2)\Delta h_{so}^2}{4E^5}.
 \end{aligned}$$

Normal density

Pairing density

J.C. Pei, Na Fei, Y.N. Zhang, P. Schuck, PRC 2015.

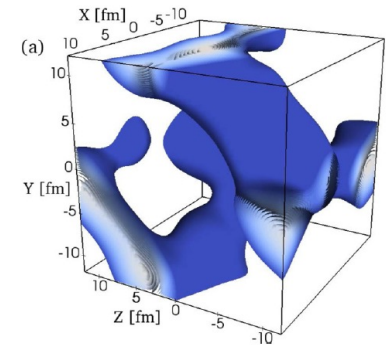
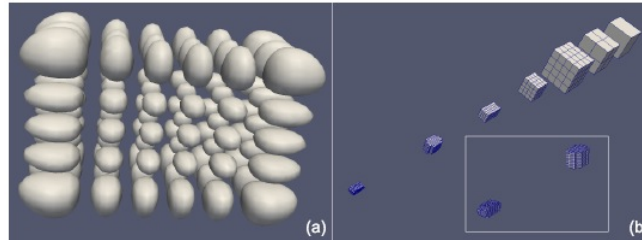
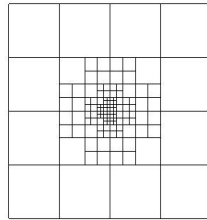
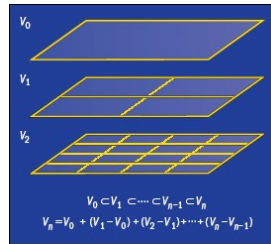


# 3D Multi-wavelet HFB

## Adaptive multi-resolution 3D Hartree-Fock-Bogoliubov solver for nuclear structure

Pei, et al., PRC 2014 (*Editor's Suggestion*)

(Similar to picture compression and computation very efficient)



Nuclear pasta

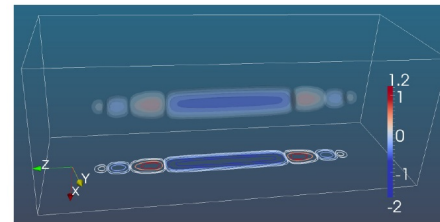
I. Sagert, et al., Phys.  
Rev. C 93, 055801  
(2016)

### Representations of wave-functions:

$$f^n(x) = \sum_{l=0}^{2^n-1} \sum_{i=0}^{k-1} s_{il}^n \phi_{il}^n(x) \quad \text{Scaling wavelets at } V_n \text{ dense and expensive}$$

$$f^n(x) = \sum_{i=0}^{k-1} s_{i0}^0 \phi_{i0}^0(x) + \sum_{n=0 \dots} \sum_{l=0}^{2^n-1} \sum_{i=0}^{k-1} d_{il}^n \psi_{il}^n(x)$$

Multi-wavelets  
Apply truncation

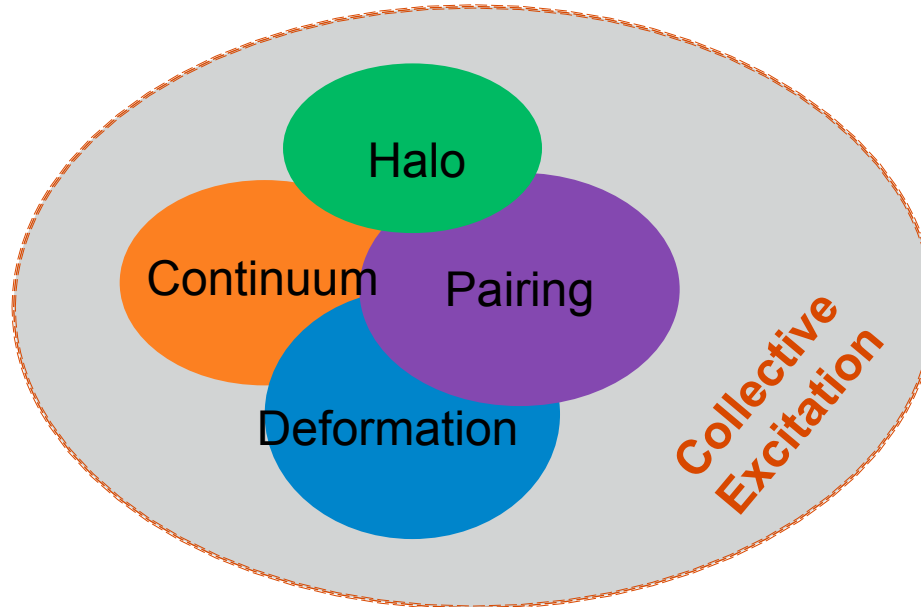


Trapped Cold Fermi gases



# Deformed continuum-QRPA study excited states

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- **Great numerical challenge for deformed continuum QRPA**
- **Progress in 2013-2014:** developed code and benchmark for monopole excitations, including time-odd terms
- **Progress in 2015-2016:** developed fully code for multiple excitations of deformed weakly bound nuclei

# FAM-QRPA

## ● Motivation

Standard QRPA in the matrix form is extremely expensive for deformed nuclei, even more to include continuum configuration

FAM-QRPA provides alternative way solving QRPA equation iteratively rather than diagonalization (FAM-RPA, [T. Nakatsukasa, PRC, 2007](#))

## ● Current status of FAM-QRPA

- ✓ Based on HFBRAD (spherical coordinate-space HFB), ([PRC, T. Nakatsukasa, 2011](#))
- ✓ Based on deformed relativistic HB ([T. Nikšić, Phys. Rev. C 88, 044327, 2013](#))
- ✓ Based on HFBTHO(deformed HO/THO basis) ([M. Stoitsov, PRC 84, 2011](#))
- ✓ Relativistic FAM-RPA ([Liang HZ, PRC, 2013](#))
- ✓ Discrete states: [N. Hinohara, PRC, 2013](#)
- ✓ Beta decay: [M. T. Mustone, PRC 2014](#)
- ✓ Multiple FAM-QRPA in HFBTHO, [M.Kortelainen, PRC 2015](#)

# Implementation in coordinate-space

- HFB-AX output: 2D wavefunctions and energies, quasiparticle basis  
B-spline lattice transformed to Gauss-Legendre lattice
- FAM-QRPA procedure:
  1. Construct transition densities (including time-odd terms):

$$\delta\rho(\omega) = UXV^T + V^*Y^T U^\dagger, \quad \delta\kappa^{(+)}(\omega) = UXU^T + V^*Y^T V^\dagger,$$

$$\delta\kappa^{(-)}(\omega) = V^*X^\dagger V^\dagger + UY^*U^T,$$

$$\left\{ s_\phi, j_r, j_z, (\nabla \times \mathbf{j})_\phi, (\nabla \times \mathbf{s})_r, (\nabla \times \mathbf{s})_z, (\Delta \mathbf{s})_\phi, T_\phi \right\}$$

$$\mathcal{E}_t^{\text{even}} = C_t^\rho [\rho] \rho_t^2 + C_t^\tau \rho_t \tau_t + C_t^{\Delta\rho} \rho_t \Delta\rho_t + C_t^{\nabla J} \rho_t \nabla \mathbf{J} + C^J \mathbf{J}_t^2, \quad ($$

$$\mathcal{E}_t^{\text{odd}} = C_t^s [\rho] \mathbf{s}_t^2 + C_t^{\Delta s} \mathbf{s}_t \cdot \Delta \mathbf{s}_t + C_t^T \mathbf{s}_t \cdot \mathbf{T}_t + C_t^j \mathbf{j}_t^2 + C_t^{\nabla j} \mathbf{s}_t \cdot \nabla \times \mathbf{j}_t$$

$$+ C_t^{\nabla s} (\nabla \mathbf{s}_t), \quad ($$

# Implementation in coordinate-space

- 2. Calculate  $H^{20}$ ,  $H^{02}$ (including time-odd terms),  $F^{20}$ , etc

$$\begin{aligned}\delta H_{\mu\nu}^{20}(\omega) &= U^\dagger \delta h V^* - V^\dagger \delta \Delta^{(-)*} V^* + U^\dagger \delta \Delta^{(+)} U^* \\ &\quad - V^\dagger \delta h^T U^*, \\ \delta H_{\mu\nu}^{02}(\omega) &= -V^T \delta h U + U^T \delta \Delta^{(-)*} U - V^T \delta \Delta^{(+)} V \\ &\quad + U^T \delta h^T V.\end{aligned}$$

$$\begin{aligned}\delta h(\omega) &= \frac{h[\rho_\eta, \kappa_\eta^{(+)}, \kappa_\eta^{(-)*}] - h[\rho, \kappa, \kappa^*]}{\eta}, \\ \delta \Delta^{(+)}(\omega) &= \frac{\Delta[\rho_\eta, \kappa_\eta^{(+)}, \kappa_\eta^{(-)*}] - \Delta[\rho, \kappa, \kappa^*]}{\eta}, \\ \delta \Delta^{(-)}(\omega) &= \frac{\Delta[\rho_\eta^\dagger, \kappa_\eta^{(-)}, \kappa_\eta^{(+)*}] - \Delta[\rho, \kappa, \kappa^*]}{\eta},\end{aligned}$$

- 3. Calculate  $X$ ,  $Y$ ; and Broyden iteration on  $X$ ,  $Y$ . (30 iterations kept)
- 4. Finally calculate the strength

$$\begin{aligned}X_{\mu\nu} &= -\frac{\delta H_{\mu\nu}^{20}(\omega) - F_{\mu\nu}^{20}}{E_\mu + E_\nu - \omega}, \quad Y_{\mu\nu} = -\frac{\delta H_{\mu\nu}^{02}(\omega) - F_{\mu\nu}^{02}}{E_\mu + E_\nu + \omega}.\end{aligned}$$

$$S(F, \omega) = \frac{1}{2} \sum_{\mu\nu} \{F_{\mu\nu}^{20*} X_{\mu\nu}(\omega) + F_{\mu\nu}^{02*} Y_{\mu\nu}(\omega)\},$$



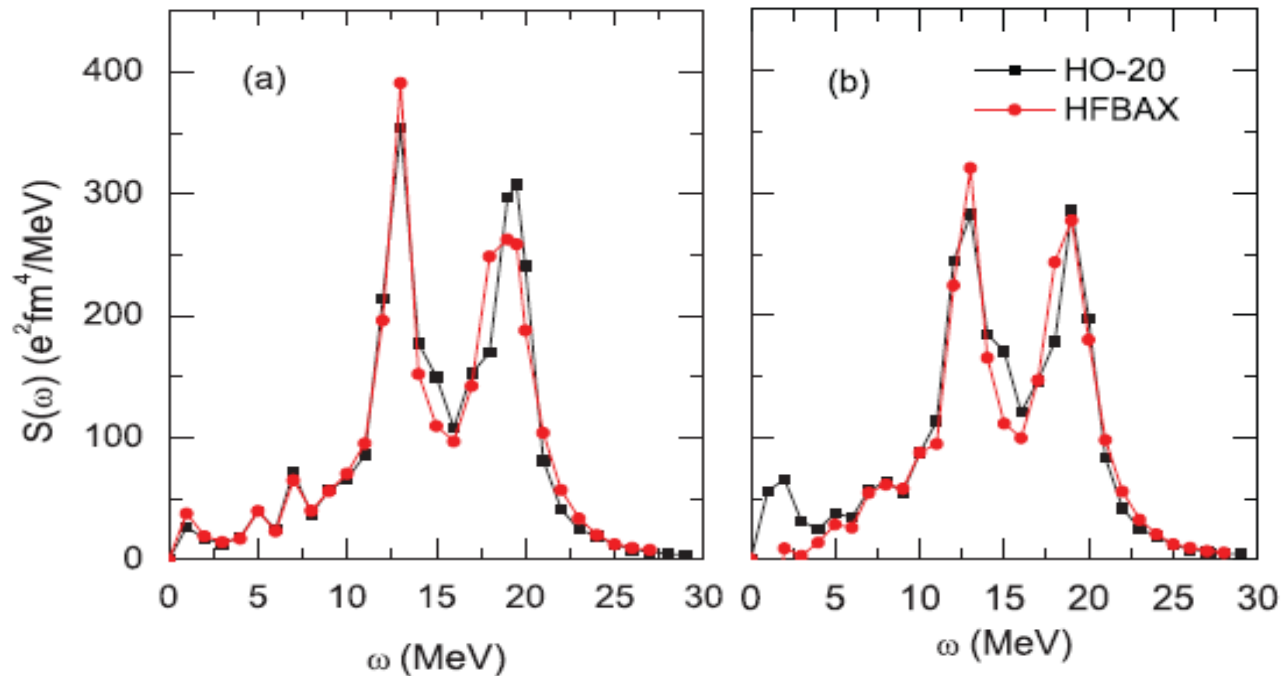
# Implementation in coordinate-space

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- Combined parallel calculations in TH-1A, TH-22 supercomputers for different excitation frequency : MPI distributed parallel for each point in a node: OpenMP multi-thread parallel (12 threads)
- Time-consuming: read wavefunctions (10-20 G) and calculate transition densities and  $H^{20}$ , which was a great challenge for matrix-form QRPA.
- ◆ Isoscalar monopole modes for soft incompressibility
- ◆ Isovector dipole mode for pygmy resonances
- ◆ Isoscalar quadrupole mode for proton and neutron differences

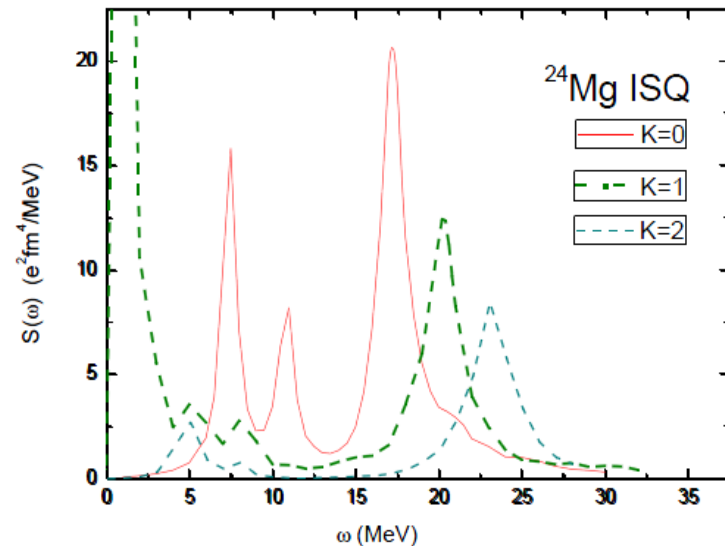
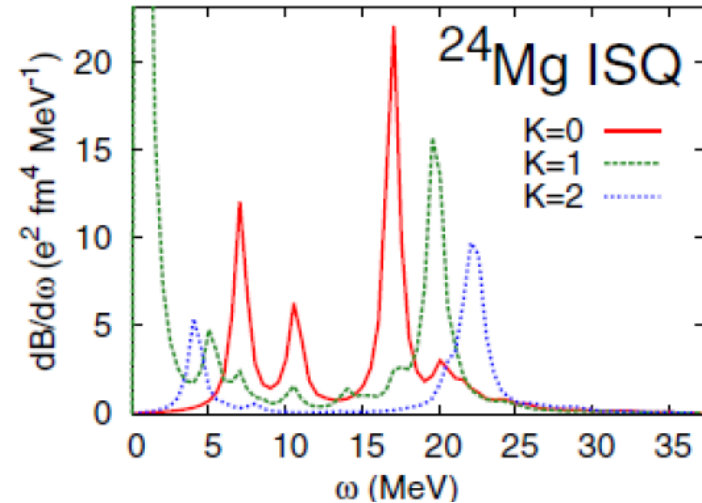
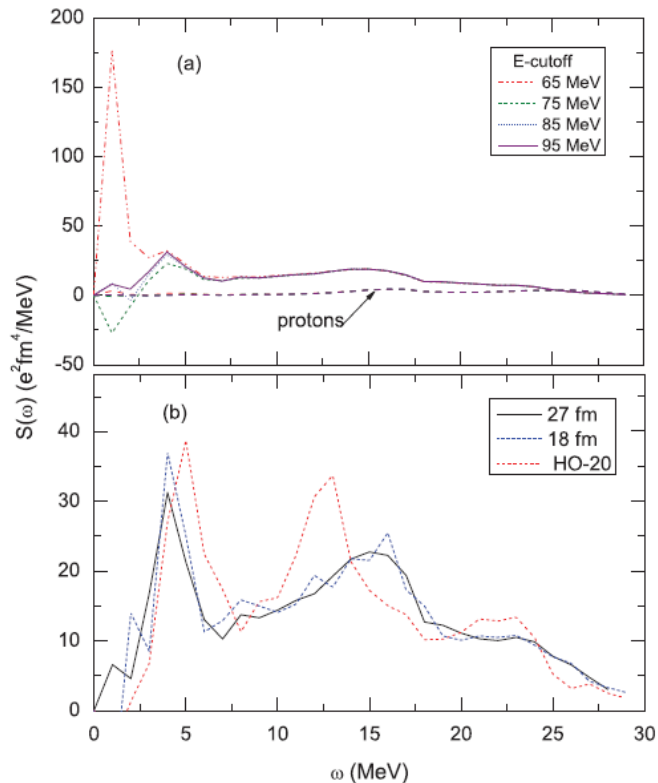
# Benchmark with HO basis

- SLy4+volume pairing and surface pairing (100Zr)



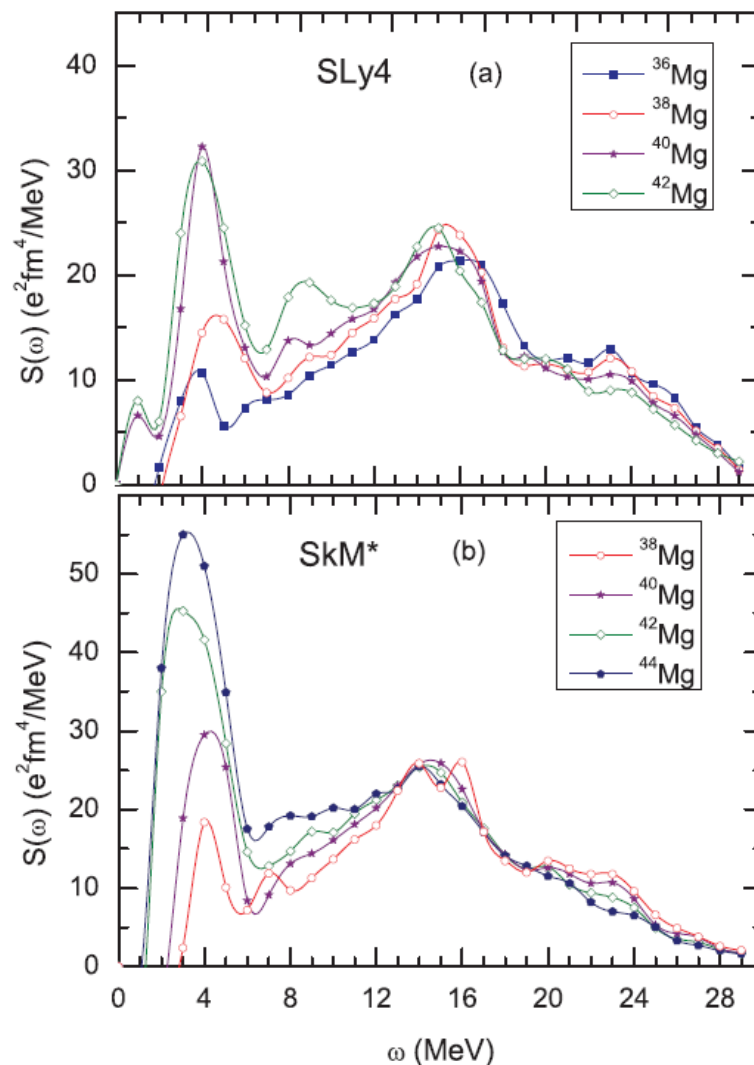
# Benchmark with HO basis

- Tests on Cutoff and box sizes
- Spurious modes  $\leq 2$  MeV
- Large box is essential



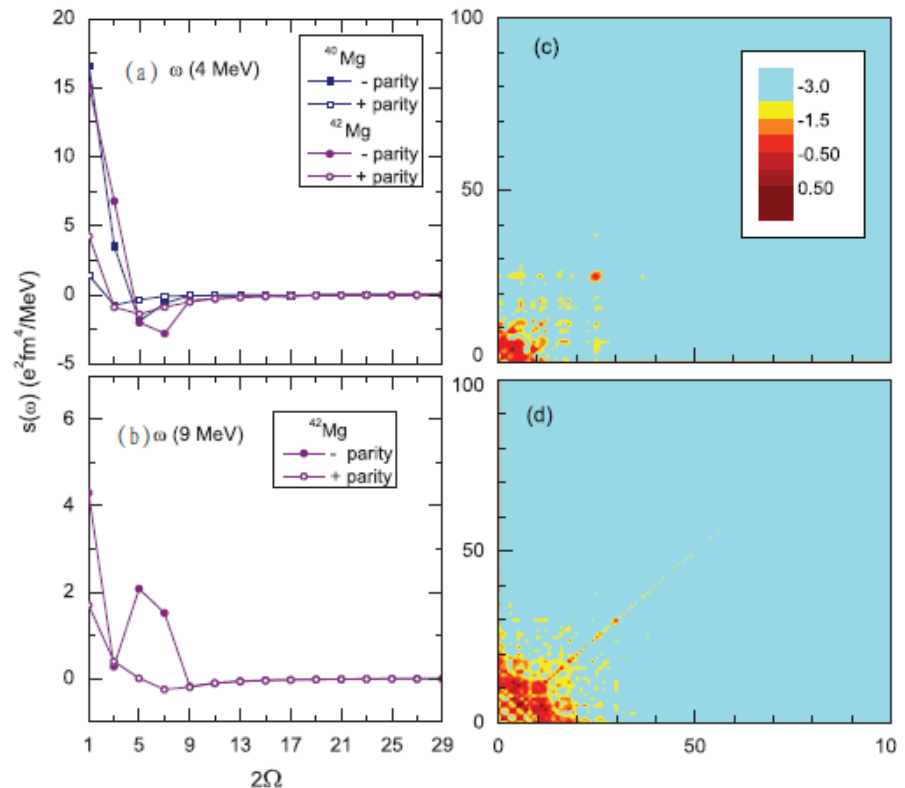
# Mg isotopes and soft monopole modes

- Soft mode 4 MeV  
close to threshold  
enhanced due to surface pair
- Novel mode 9 MeV  
novel according to systematics  
weak in SkM\* calculations



# Collectivity and mechanism

- 4 MeV res. collectivity increase
- $1/2^-$  states most important (also for halo)
- Non-resonant continuum (around 2 MeV) pairing halo vibrations
- 9 MeV res. is collective no direct relation to cont.

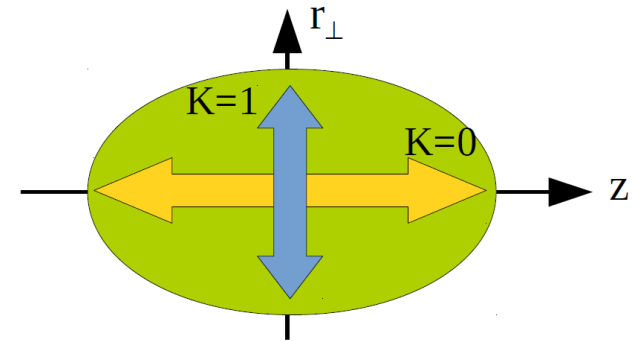
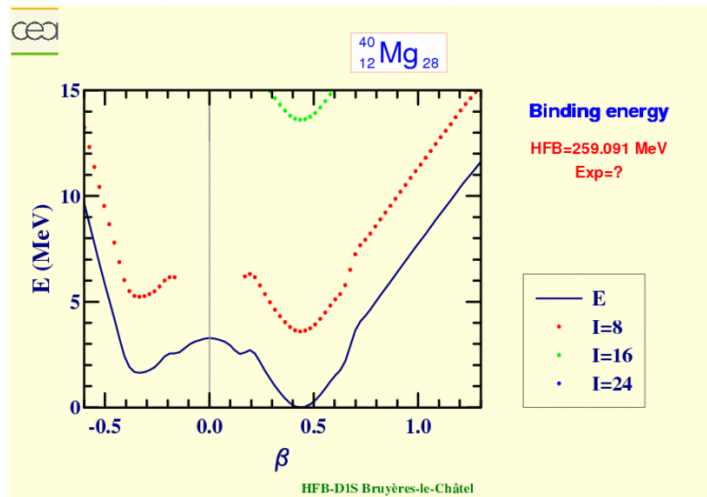


- ◆ For experimental detection: around zero degrees (Z.H.Yang, et al., PRL, 2014)

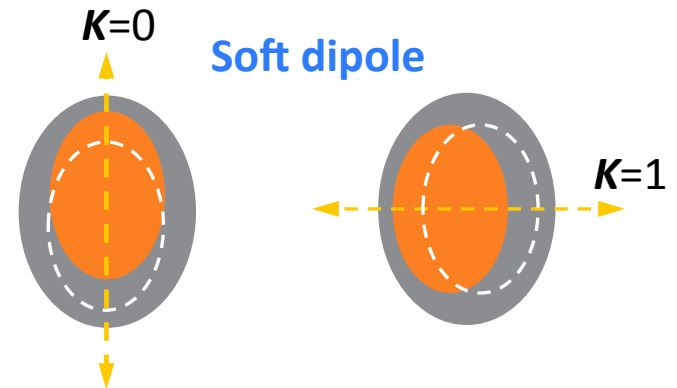


# Shape coexistence in $^{40}\text{Mg}$

- Prolate and oblate coexistence in  $N=28$  From oblate  $^{42}\text{Si}$  to prolate  $^{40}\text{Mg}$

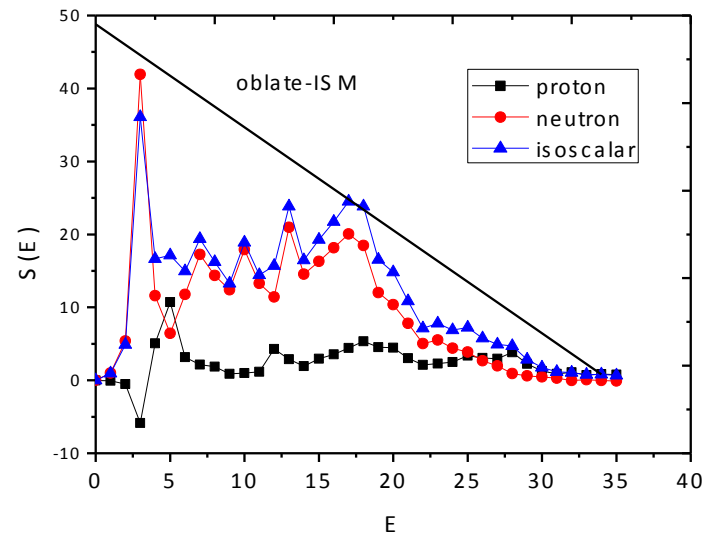
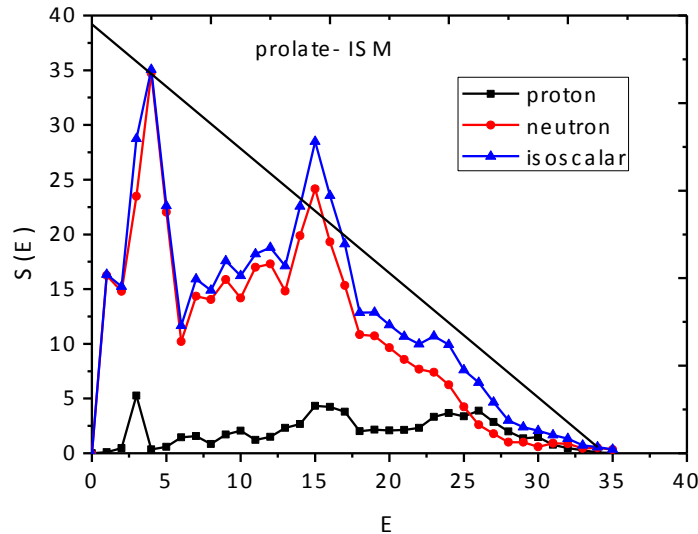


A good case to probe excitations of different deformed halos



# Multipole excitations

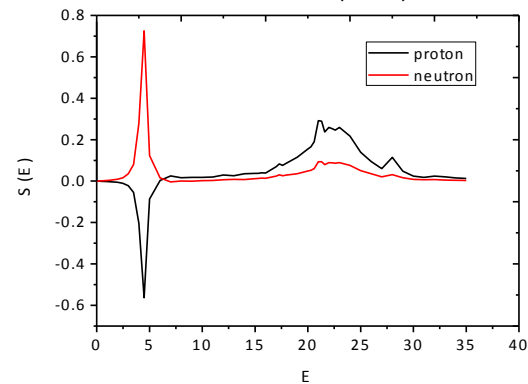
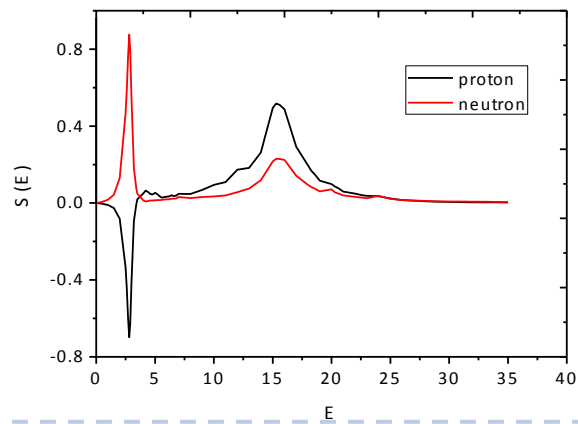
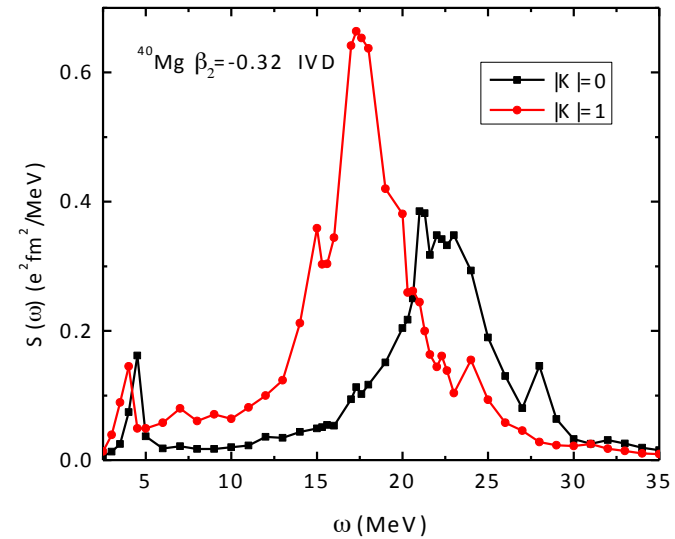
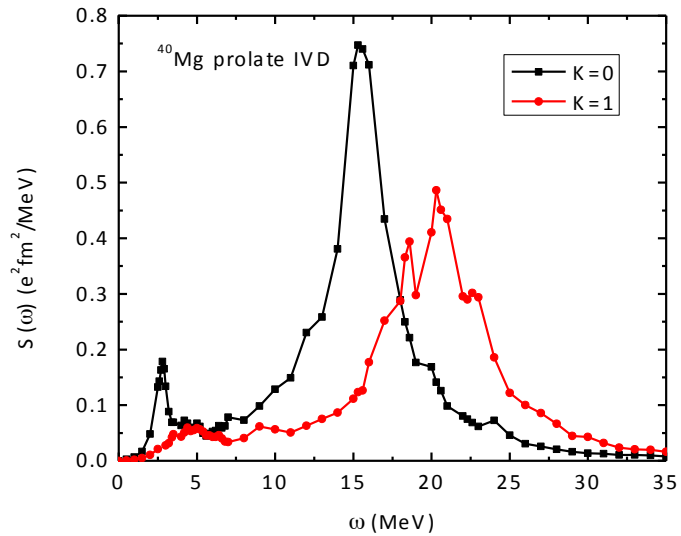
- Isoscalar monopole excitations of prolate and oblate deformations



- Remarkable ISM soft modes with different deformations, neutron dominated
- Deformation splitting is not significant in ISM in weakly bound nuclei



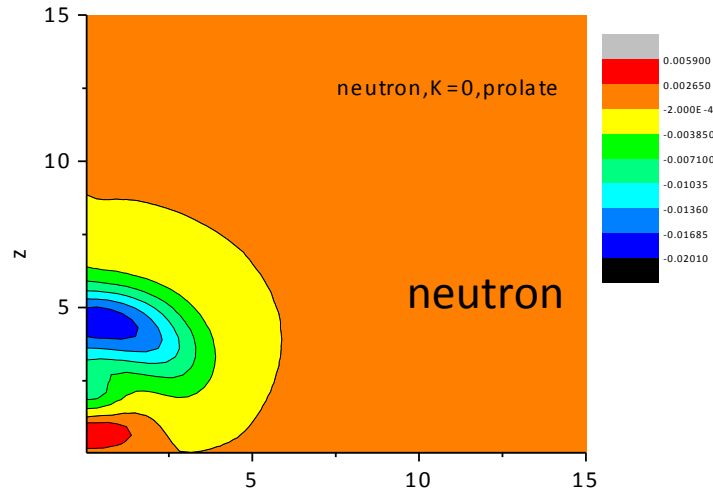
- isovector dipole excitations: deformation splitting in pygmy and giant IVD



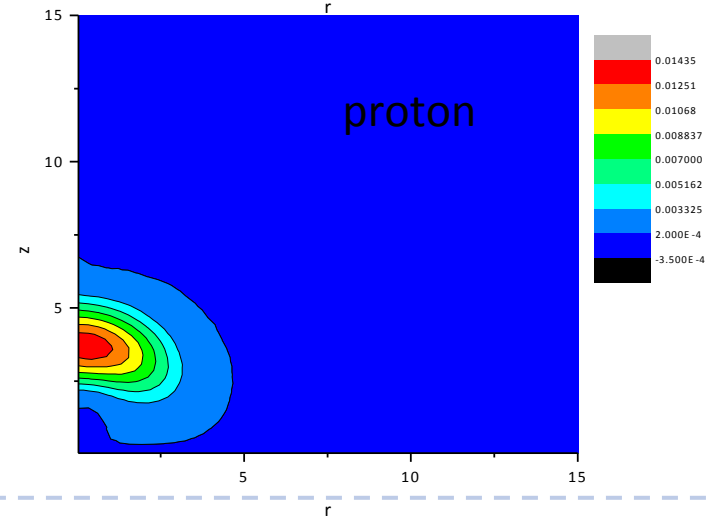
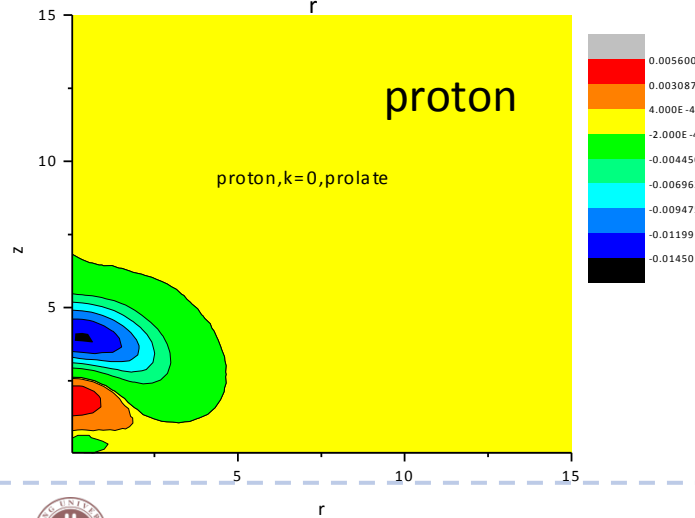
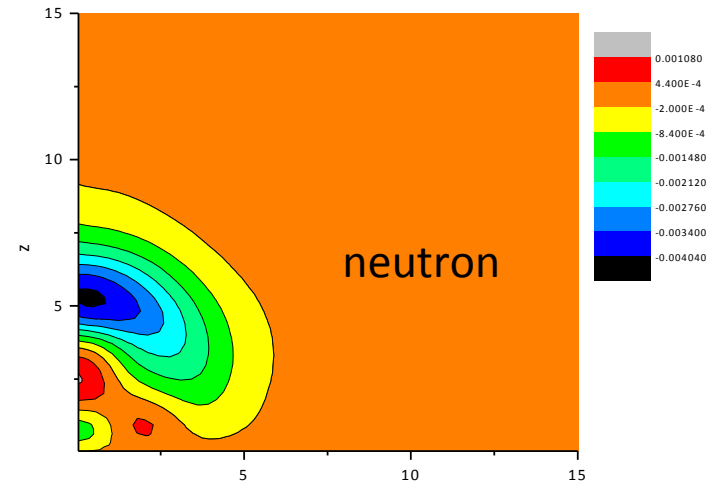


# Transition density of IVD

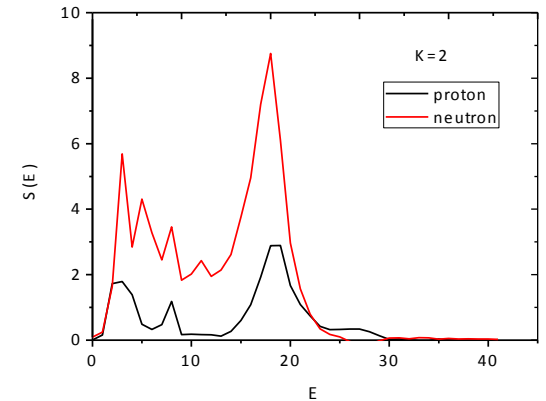
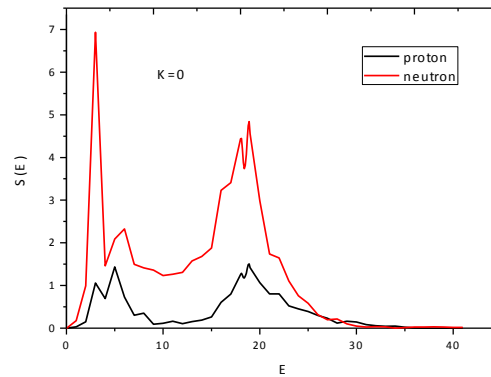
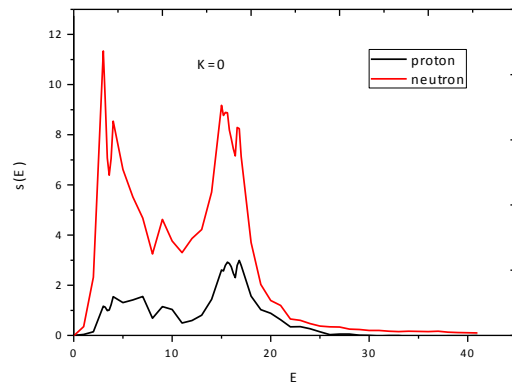
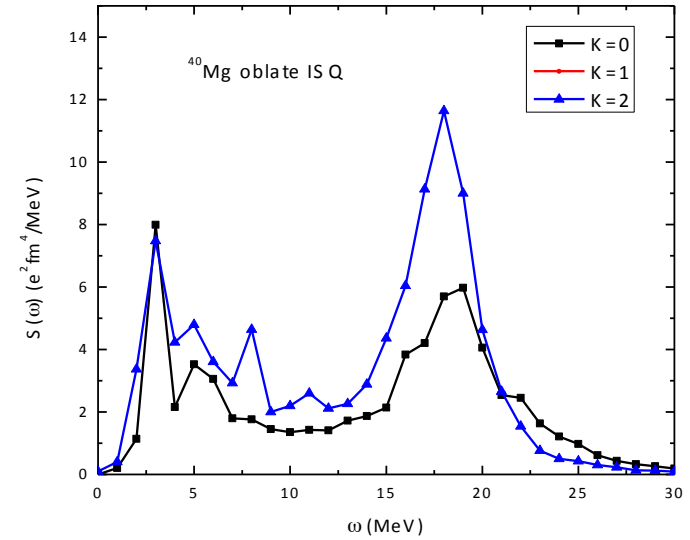
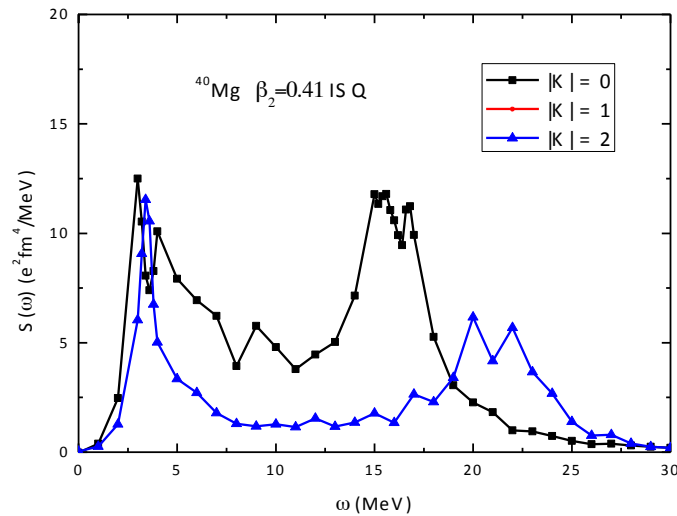
## Pygmy IVD (in phase)



## Giant IVD (out phase)

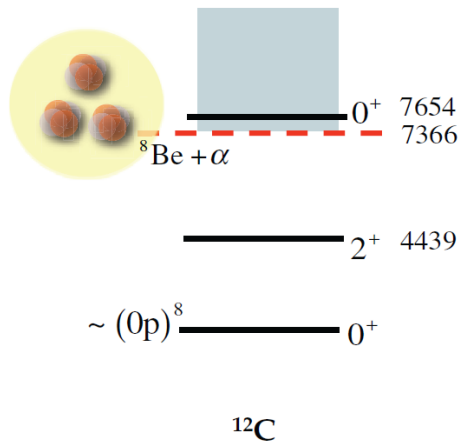


- Isoscalar Quadrupole excitation (spurious in K=1 mode)

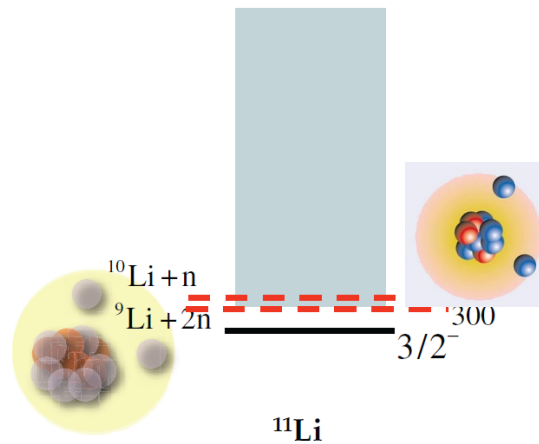


# Open problems

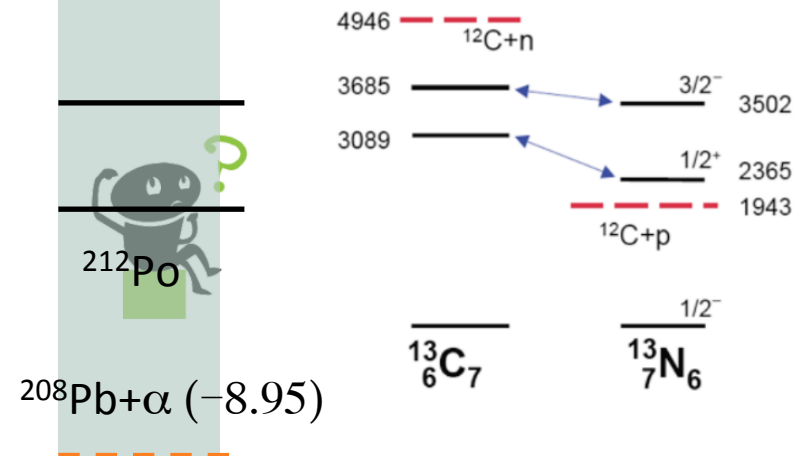
## $\alpha$ cluster states



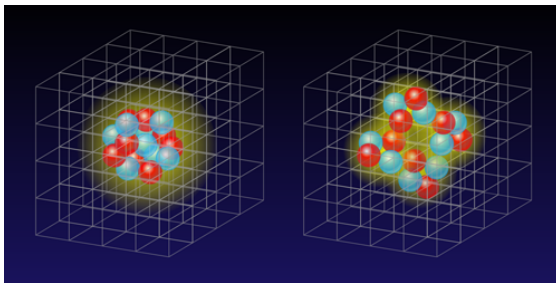
## halo states



## Thomas-Ehrmann shift (1951)



- Continuum and threshold effects
- Localization effects
- Evolution from many-body to few -body

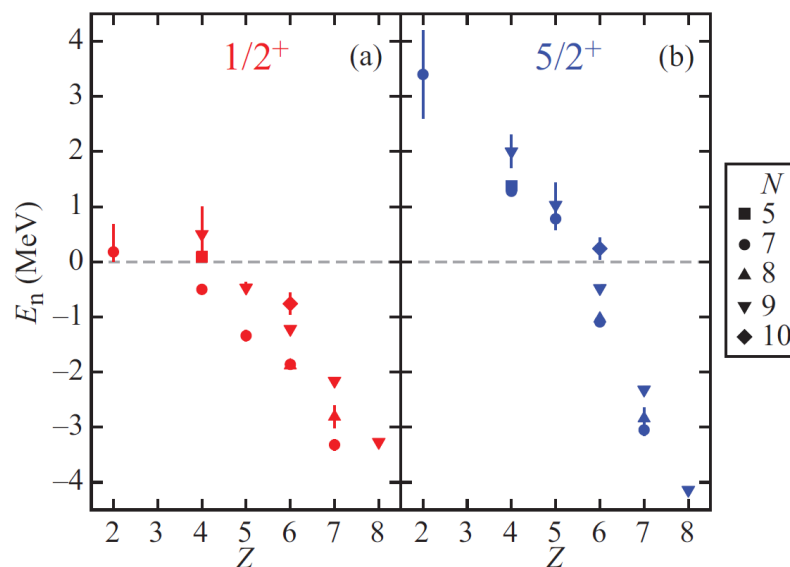


- Lattice effective field theory
- MC shell model
- Gamow shell model



# Open problems

- Special s-wave in weakly bound nuclei



## Geometry effect influence s-wave

C. R. Hoffman, PRC C 89, 061305(R) 2014

**Implications:** orbit-dependent interaction is needed;  
more halo nuclei than expected



# Summary

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- Coordinate-space HFB is a suitable tool to explore new exotic halo structures and excitations, with the development of supercomputing
- Various soft modes due to surface vibrations and continuum
- Different mechanisms in pygmy and giant resonances

## To be done:

- 3D Continuum FAM-QRPA for multipole excitations
- and more applications for beta decays, pair transfer, collective mass...

## Thanks for your attention!

Collaborators: Kai Wang, M. Kortelainen, Yinu Zhang and Furong Xu  
And many others for discussions

