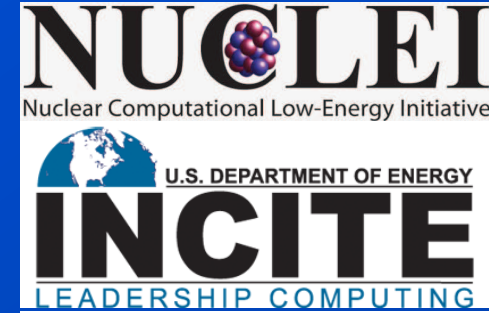


# No-Core Shell Model with Chiral Effective Field Theory Interactions

James P. Vary, Iowa State University

NTSE-2018

Daejeon, Korea, Oct. 29 – Nov. 2, 2018

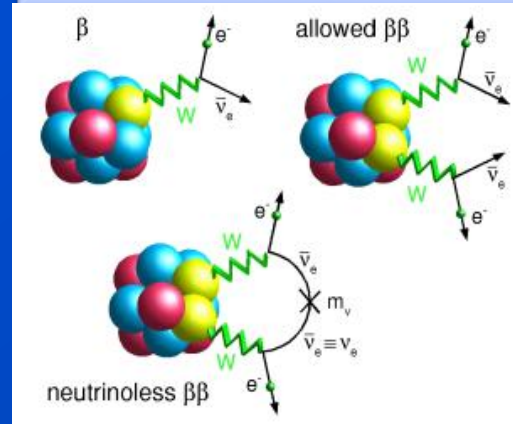


## The Overarching Questions

- How did visible matter come into being and how does it evolve?
- How does subatomic matter organize itself and what phenomena emerge?
- Are the fundamental interactions that are basic to the structure of matter fully understood?
- How can the knowledge and technological progress provided by nuclear physics best be used to benefit society?

- NRC Decadal Study

## Topical Collaboration on Neutrinos and Fundamental Symmetries



## The Time Scale

- Protons and neutrons formed  $10^{-6}$  to 1 second after Big Bang (13.7 billion years ago)
- H, D, He, Li, Be, B formed 3-20 minutes after Big Bang
- Other elements born over the next 13.7 billion years



# No-Core Configuration Interaction calculations

---

Barrett, Navrátil, Vary, *Ab initio no-core shell model*, PPNP69, 131 (2013)

Given a Hamiltonian operator

$$\hat{\mathbf{H}} = \sum_{i < j} \frac{(\vec{p}_i - \vec{p}_j)^2}{2 m A} + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} + \dots$$

solve the eigenvalue problem for wavefunction of  $A$  nucleons

$$\hat{\mathbf{H}} \Psi(r_1, \dots, r_A) = \lambda \Psi(r_1, \dots, r_A)$$

- Expand eigenstates in basis states  $|\Psi\rangle = \sum a_i |\Phi_i\rangle$
  - Diagonalize Hamiltonian matrix  $H_{ij} = \langle \Phi_j | \hat{\mathbf{H}} | \Phi_i \rangle$
  - No Core Full Configuration (NCFC) – All  $A$  nucleons treated equally
  - Complete basis  $\rightarrow$  exact result
  - In practice
    - truncate basis
    - study behavior of observables as function of truncation
-

# Basis expansion $\Psi(r_1, \dots, r_A) = \sum a_i \Phi_i(r_1, \dots, r_A)$

- Many-Body basis states  $\Phi_i(r_1, \dots, r_A)$  Slater Determinants
- Single-Particle basis states  $\phi_\alpha(r_k)$  with  $\alpha = (n, l, s, j, m_j)$
- Radial wavefunctions: Harmonic Oscillator (HO), natural orbitals, Woods-Saxon, Coulomb-Sturmian, Complex Scaled HO, Berggren, . . .
- $M$ -scheme: Many-Body basis states eigenstates of  $\hat{J}_z$

$$\hat{J}_z |\Phi_i\rangle = M |\Phi_i\rangle = \sum_{k=1}^A m_{ik} |\Phi_i\rangle$$

- $N_{\max}$  truncation: Many-Body basis states satisfy

$$\sum_{\alpha \text{ occ.}}^A (2n + l)_\alpha \leq N_0 + N_{\max}$$

$N_{\max}$  runs from zero to computational limit.  
( $N_{\max}, \hbar\Omega$ ) fix HO basis

- Alternatives:

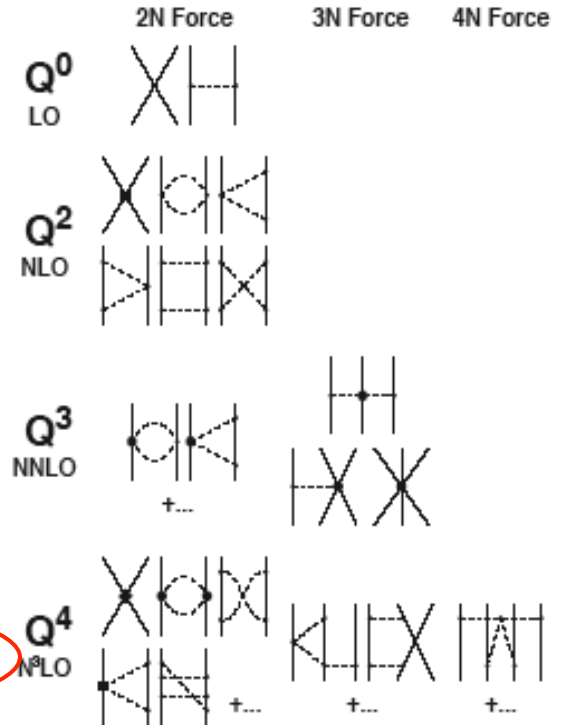
- Full Configuration Interaction (single-particle basis truncation)
- Importance Truncation Roth, PRC79, 064324 (2009)
- No-Core Monte-Carlo Shell Model Abe *et al*, PRC86, 054301 (2012)
- SU(3) Truncation Dytrych *et al*, PRL111, 252501 (2013)

# Nuclear interaction

Major development during the past ~10 years:  
High-precision ab initio calculations now used to  
“discover” the correct strong NN+NNN interaction

Nuclear potential not well-known,  
though in principle calculable from QCD

$$\hat{H} = \hat{T}_{\text{rel}} + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} + \dots$$



In practice, alphabet of realistic potentials

- Argonne potentials: AV8', AV18
  - plus Urbana 3NF (UIX)
  - plus Illinois 3NF (IL7)
- Bonn potentials
- Chiral NN interactions - e.g. LENPIC
  - plus chiral 3NF, ideally to the same order

**JISP16**

Kim, Abe, Caprio, Mazur, Shirokov talks

**Daejeon16**

Extrapolations with Artificial Neural Networks:  
Brief comment at the end

● ...

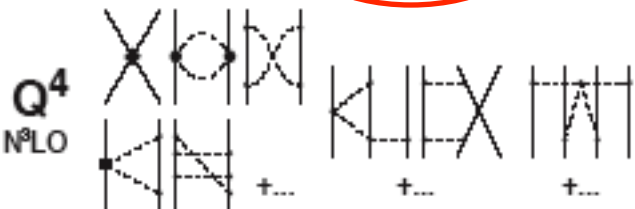
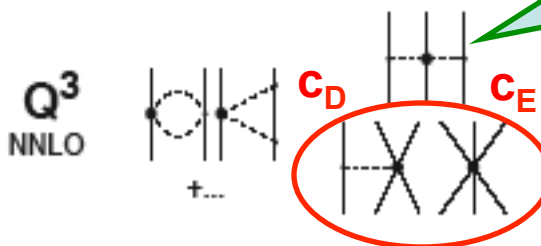
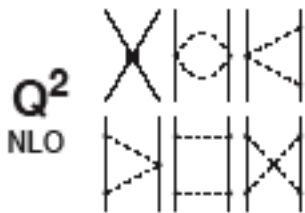
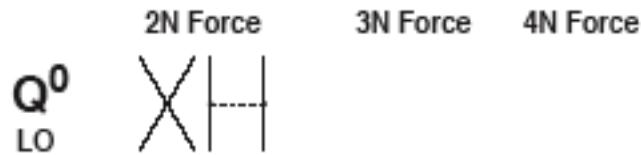
# Effective Nucleon Interaction

## (Chiral Perturbation Theory)

Chiral perturbation theory ( $\chi$ PT) allows for controlled power series expansion

Expansion parameter:  $\left(\frac{Q}{\Lambda_\chi}\right)^v$ ,  $Q$  – momentum transfer,

$\Lambda_\chi \approx 1 \text{ GeV}$ ,  $\chi$  - symmetry breaking scale



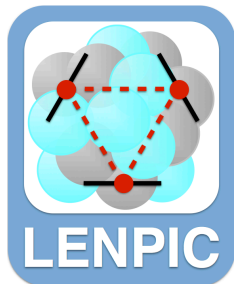
Within  $\chi$ PT  $2\pi$ -NNN Low Energy Constants (LEC) are related to the NN-interaction LECs  $\{c_i\}$ .

Terms suggested within the Chiral Perturbation Theory

**Regularization** is essential, which is also implicit within the Harmonic Oscillator (HO) wave function basis (see below)

# Calculation of three-body forces at N<sup>3</sup>LO

Low  
Energy  
Nuclear  
Physics  
International  
Collaboration



J. Golak, R. Skibinski,  
K. Tolponicki, H. Witala



E. Epelbaum, H. Krebs



A. Nogga



R. Furnstahl



S. Binder, A. Calci, K. Hebeler,  
J. Langhammer, R. Roth



P. Maris, J. Vary



H. Kamada

## Goal

Calculate matrix elements of 3NF in a partial-wave decomposed form which is suitable for different few- and many-body frameworks

---

## Challenge

Due to the large number of matrix elements, the calculation is extremely expensive.

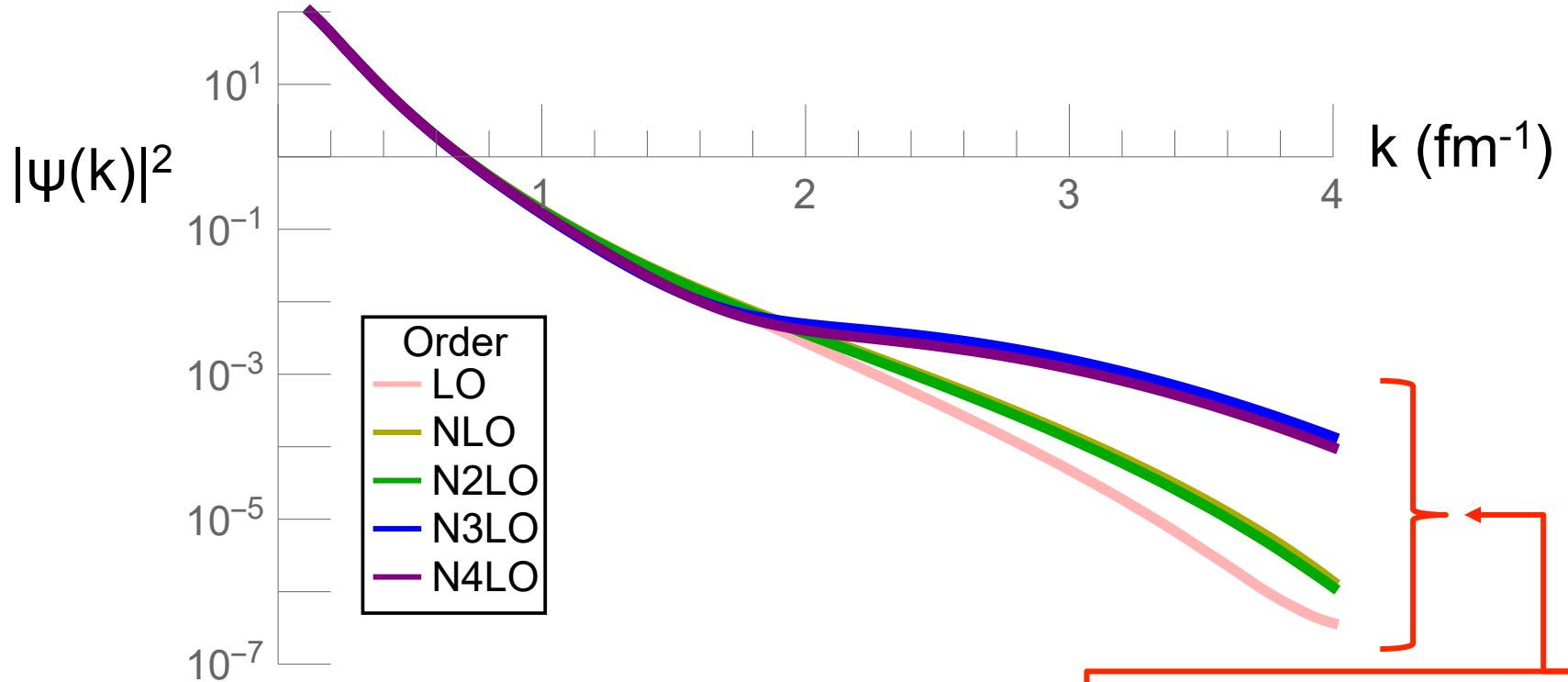
---

## Strategy

Develop an efficient code which allows to treat arbitrary local 3N interactions.  
(Krebs and Hebeler)

Additional Goal: Develop consistent chiral EFT theory for electroweak operators

Progressing to higher chiral order builds higher momentum components into the deuteron ground state wave function:  
bellwether for convergence in NCSM applications

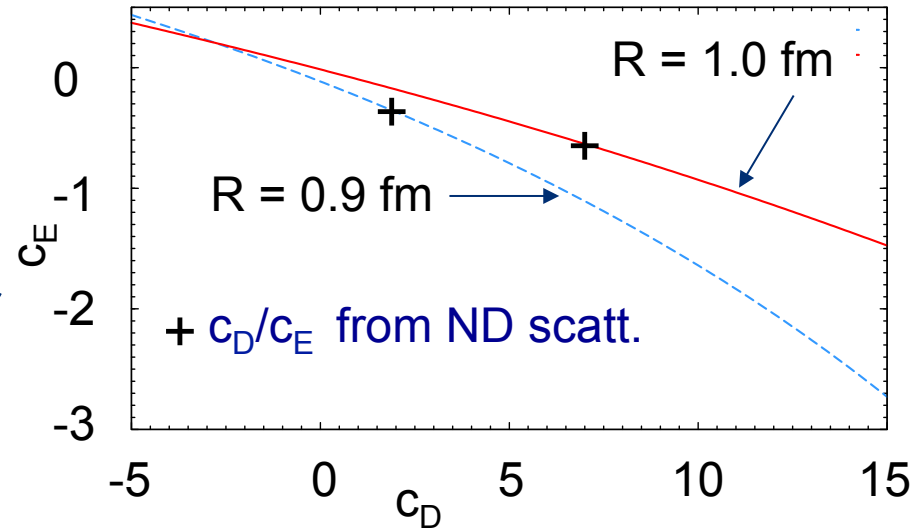


Francesca Sammarruca presented these features

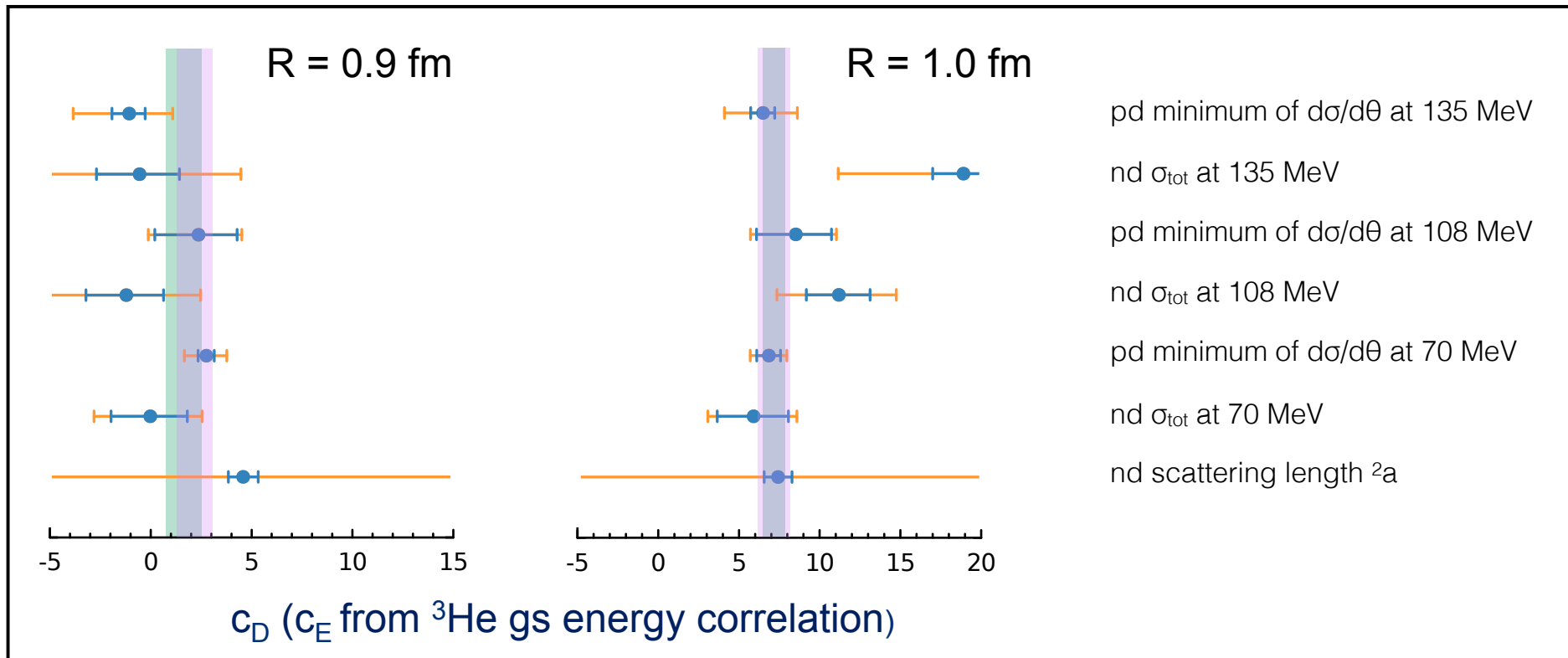
Coordinate space regulator:

$$f\left(\frac{r}{R}\right) = \left(1 - \exp\left(-\frac{r^2}{R^2}\right)\right)^6$$

$c_D/c_E$  correlation from fits to  ${}^3\text{He}$  gs Energy

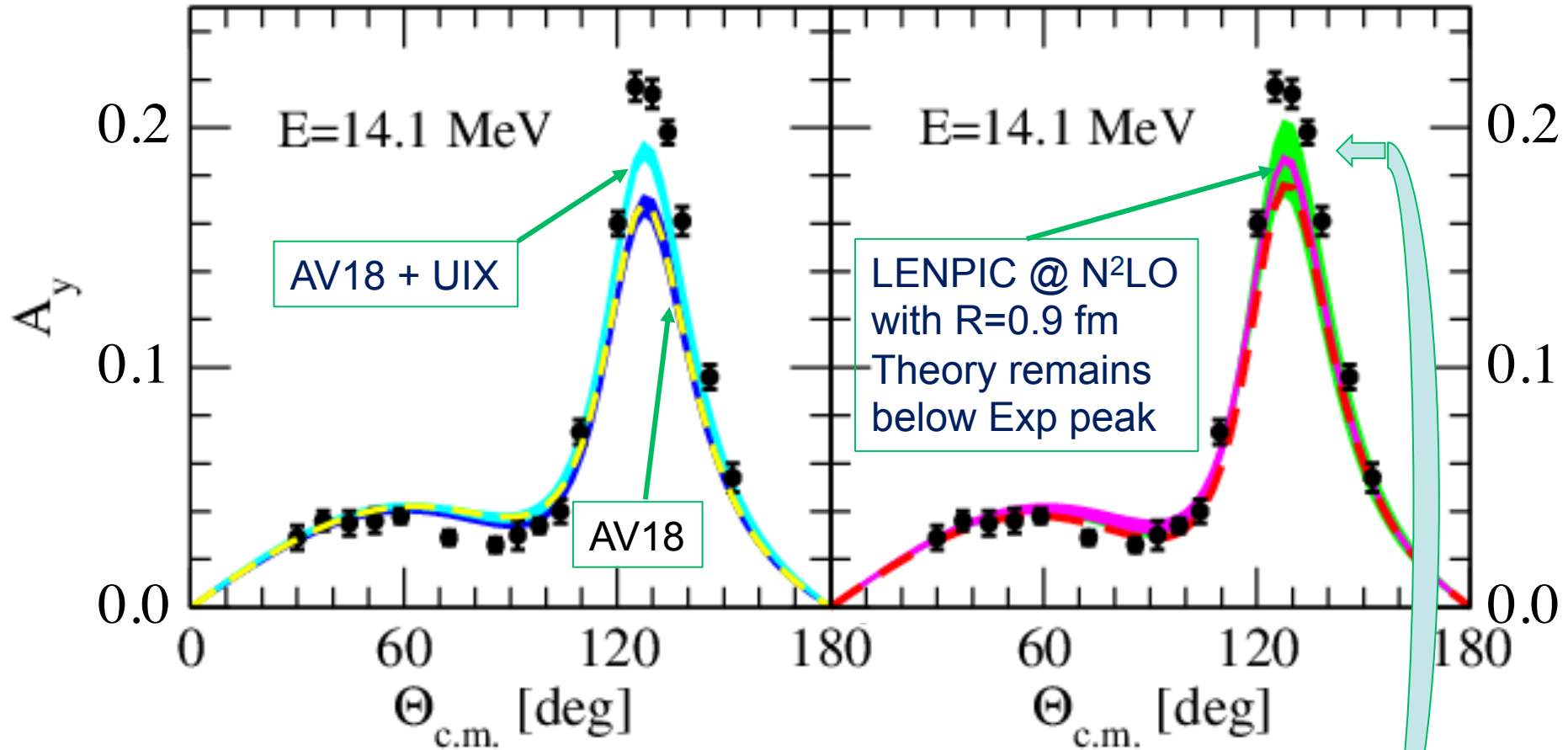


$c_D$  ( $c_E$ ) selected via ND scattering analyses:

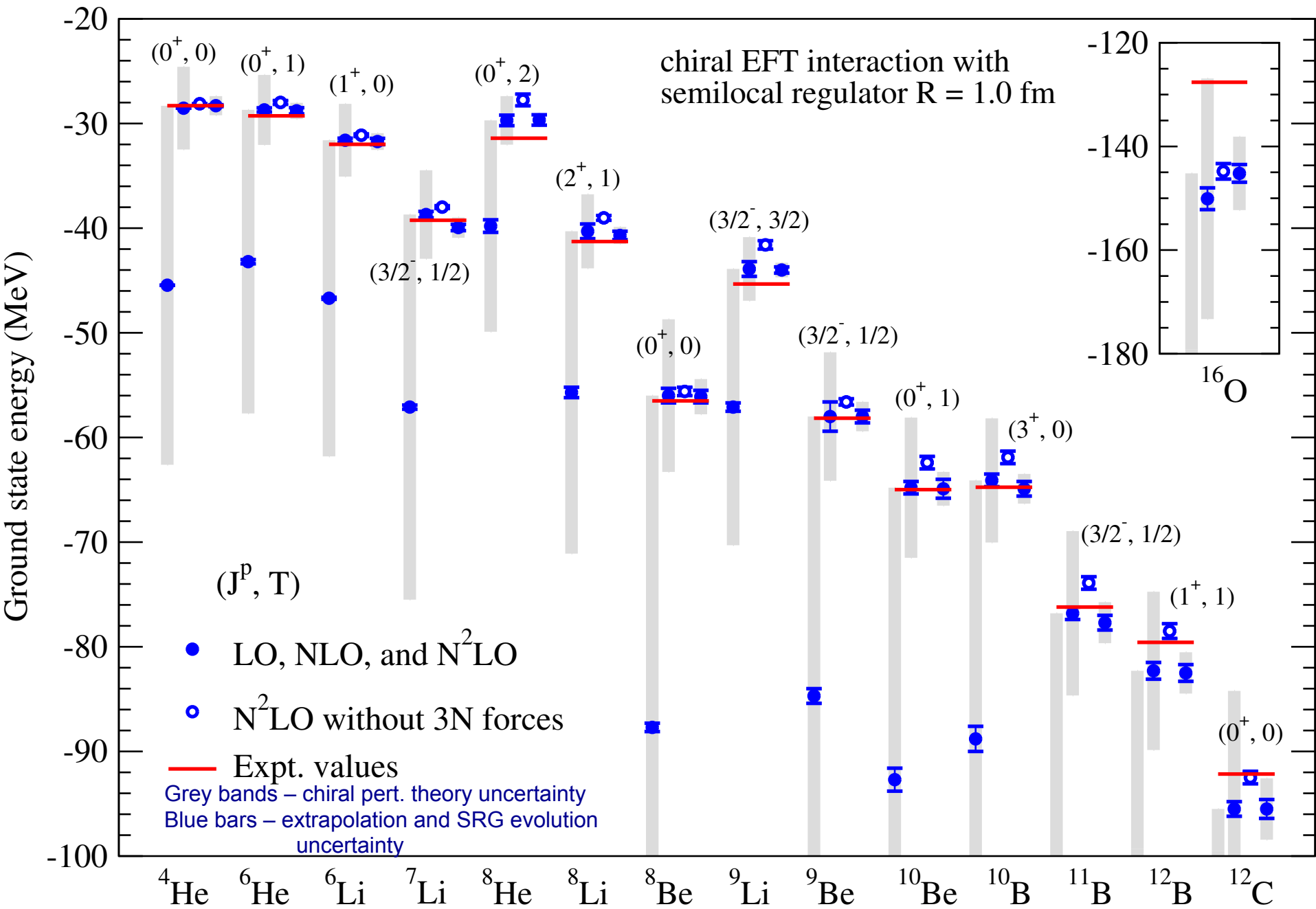




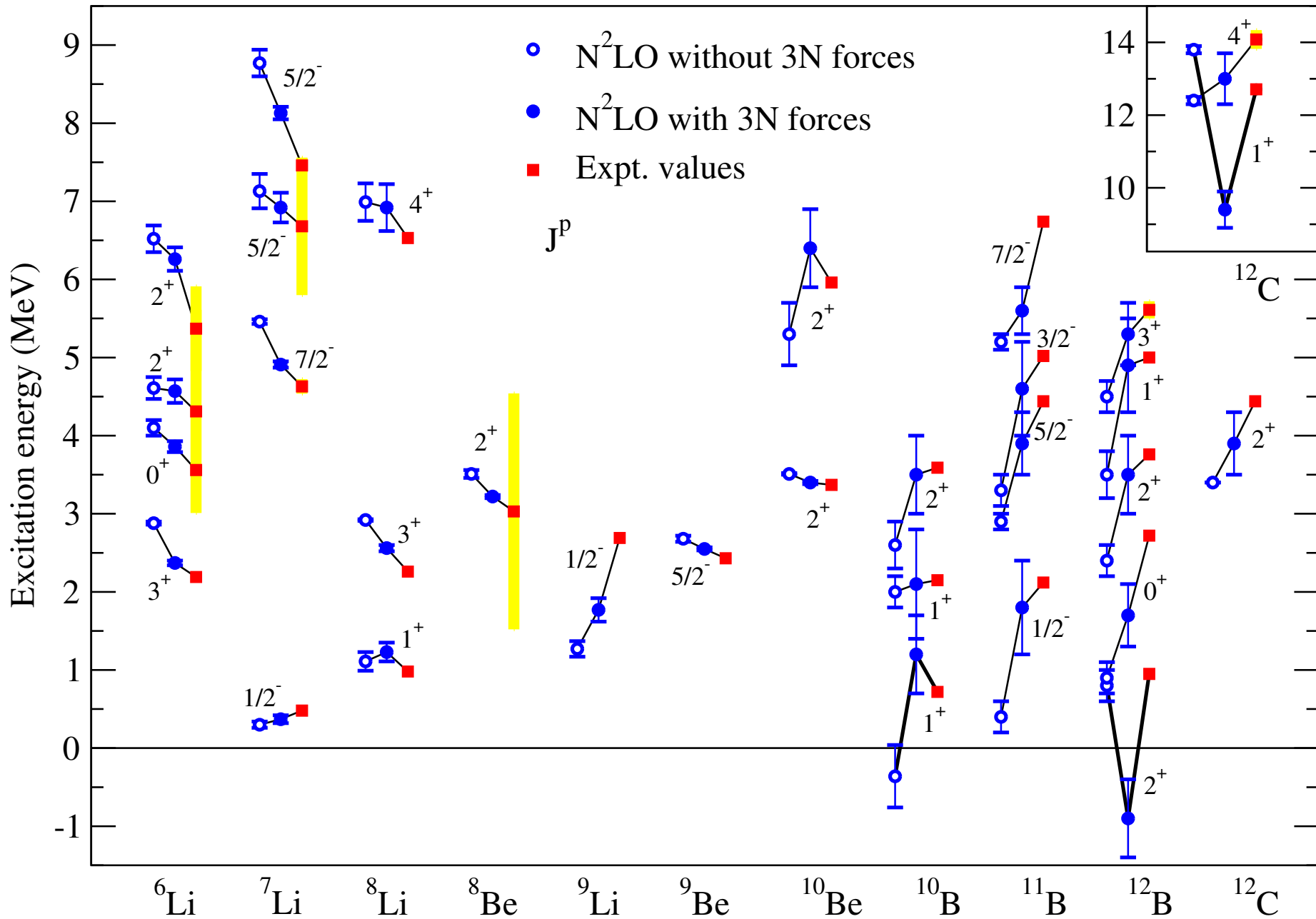
# Status of the $A_y$ puzzle (talk by Roman Skibinski)



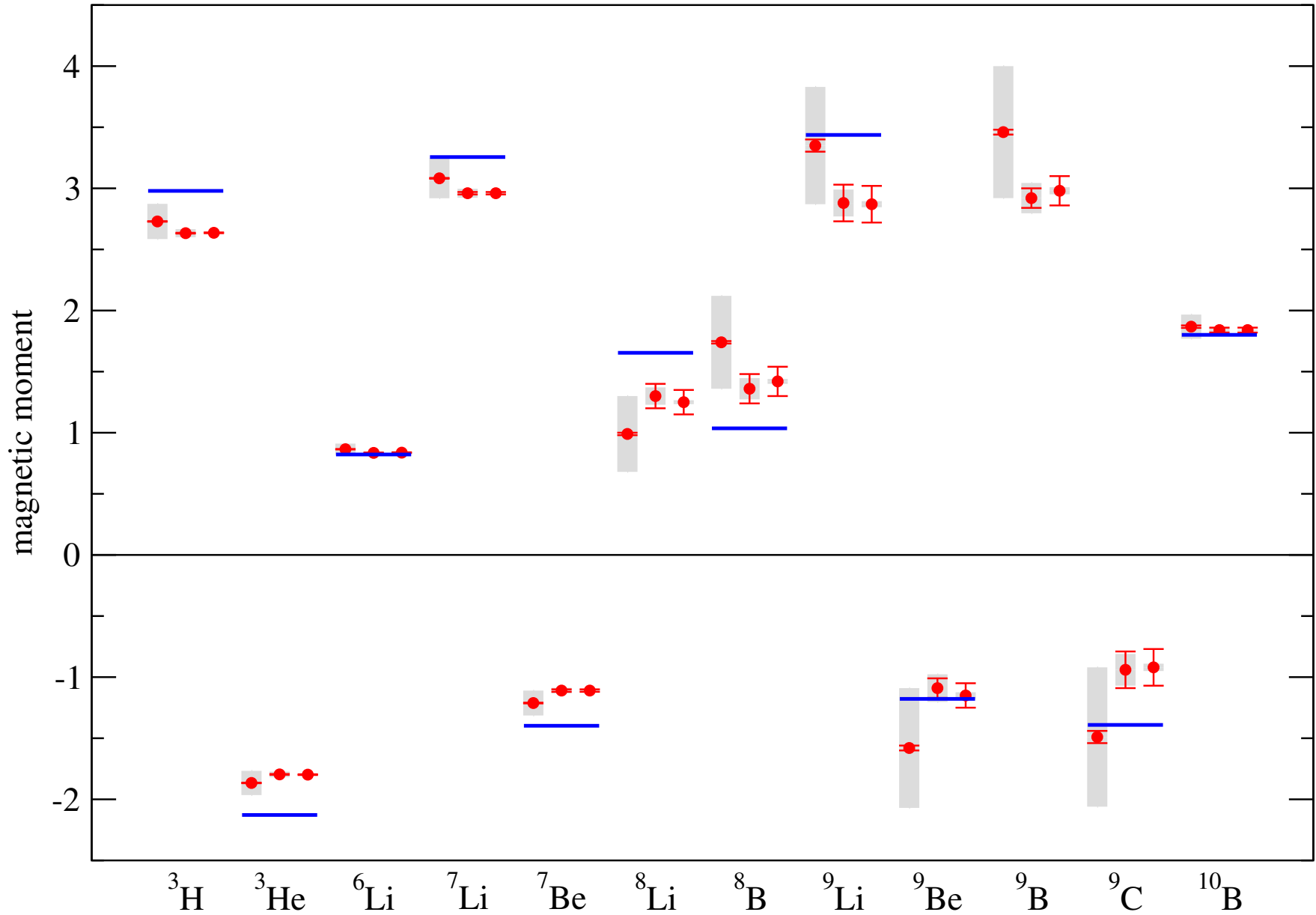
Green band gives estimated truncation error at chiral N<sup>2</sup>LO



LENPIC NN + 3NFs at N<sup>2</sup>LO  
 E. Epelbaum, et al., arXiv: 1807.02848

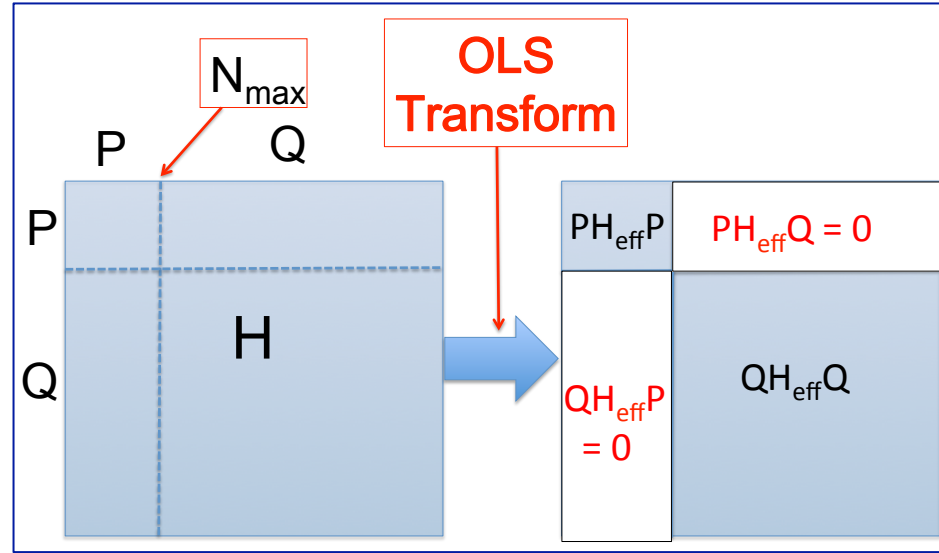


Preliminary results – yet to include 3NF and corrections to M1 moment operator



## OLS Transform:

Unitary transformation that block-diagonalizes the Hamiltonian – i.e. it integrates out Q-space degrees of freedom.



$UHU^\dagger = U[T + V]U^\dagger = H_d$ , the diagonalized  $H$

$$H_{\text{eff}} \equiv U_{\text{OLS}} H U_{\text{OLS}}^\dagger = P H_{\text{eff}} P = P[T + V_{\text{eff}}]P$$

$$W^P \equiv P U P$$

$$\tilde{U}^P \equiv P \tilde{U}^P P \equiv \frac{W^P}{\sqrt{W^{P\dagger} W^P}}$$

$$H_{\text{eff}} = \tilde{U}^{P\dagger} H_d \tilde{U}^P = \tilde{U}^{P\dagger} U H U^\dagger \tilde{U}^P = P[T + V_{\text{eff}}]P$$

We conclude that:

$$U_{\text{OLS}} = \tilde{U}^{P\dagger} U$$

Similarly, we have effective operators for observables:

$$O_{\text{eff}} \equiv \tilde{U}^{P\dagger} U O U^\dagger \tilde{U}^P = P[O_{\text{eff}}]P$$

← Consistent observables

See: J.P. Vary, et al.,  
arXiv: 1809.00276  
for applications

Consider two nucleons as a model problem with  $V = \text{LENPIC}$  chiral NN solved in the harmonic oscillator basis with  $\hbar\Omega = 5, 10$  and  $20$  MeV. Also, consider the role of an added harmonic oscillator quasipotential

Hamiltonian #1  $H = T + V$

Hamiltonian #2  $H = T + U_{\text{osc}}(\hbar\Omega_{\text{basis}}) + V$

Other observables:

Root mean square radius	R
Magnetic dipole operator	M1
Electric dipole operator	E1
Electric quadrupole moment	Q
Electric quadrupole transition	E2
Gamow-Teller	GT
Neutrinoless double-beta decay	M(0 $\nu$ 2 $\beta$ )

Dimension of the “full space” is 400 for all results depicted here

## Deuteron gs energy: truncation vs OLS

Fract. Diff. =

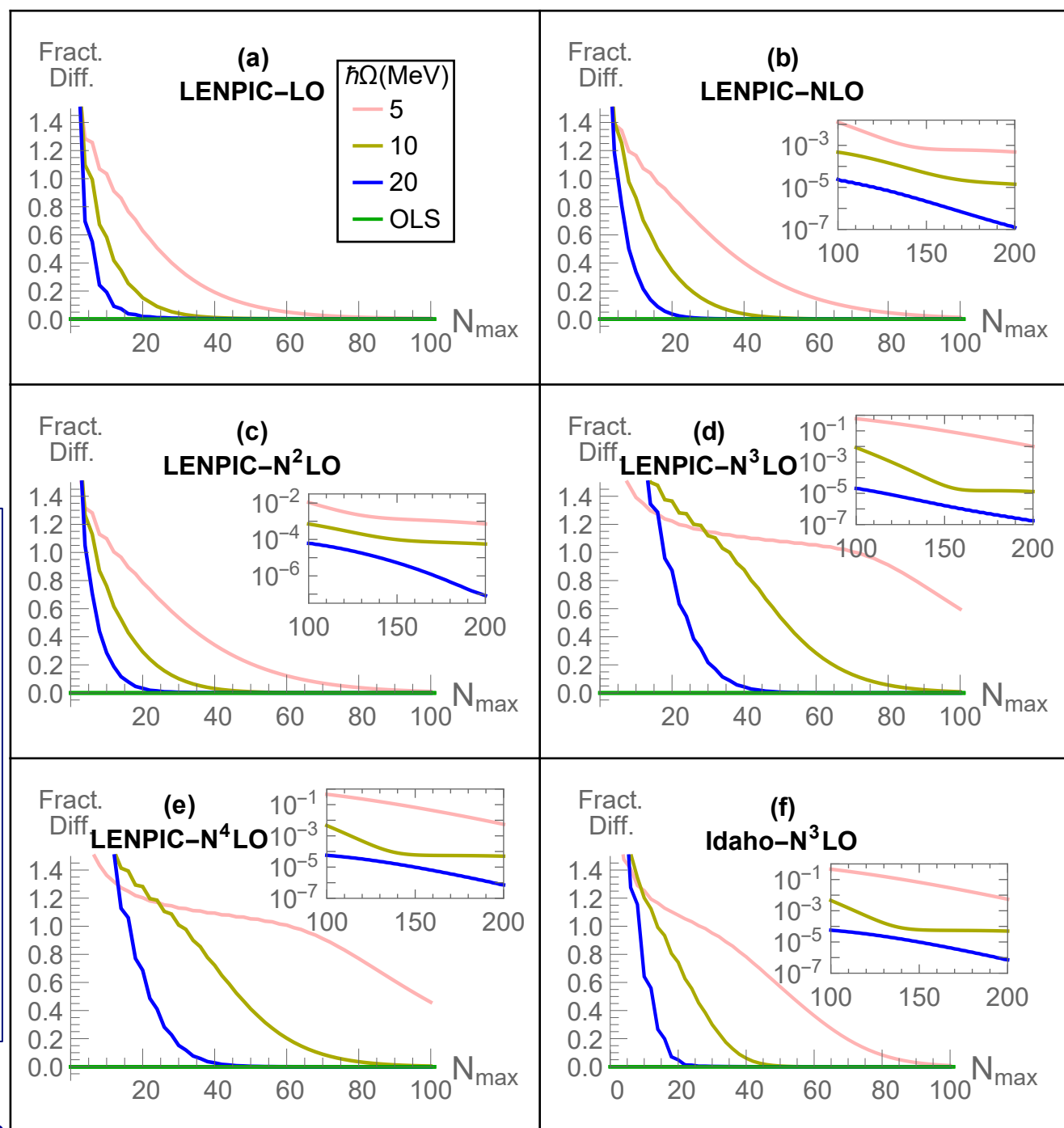
$$(E_{\text{model}} - E_{\text{exact}})/|E_{\text{exact}}|$$

Insets: Semilog plots of high  $N_{\text{max}}$  region

OLS gives exact results for all cases (green lines at Fract. Diff. = 0)

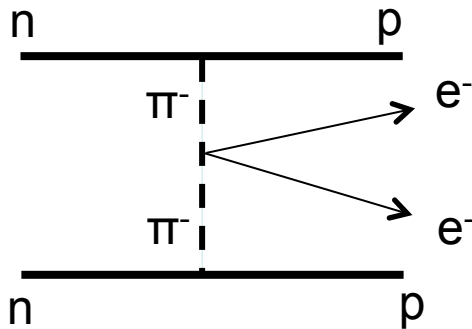
Convergence patterns sensitive to chiral order

Even unbound cases (Fract. Diff. > 1.0) are accurately treated with OLS



## Consider a 2-body contribution within EFT to $0\nu\beta\beta$ -decay at N<sup>2</sup>LO

G. Prézeau, M. Ramsey-Musolf and P. Vogel, Phys. Rev. D 68, 034016 (2003)



$$M^0 = \langle \Psi_{A,Z+2} | \sum_{ii} \frac{R}{r_{ij}} [F_1(x_{ij}) \vec{\sigma}_i \vec{\sigma}_j + F_2(x_{ij}) T_{ij}] \tau_i^+ \tau_j^+ | \Psi_{A,Z} \rangle$$

$$F_1(x) = (x - 2)e^{-x}, \quad F_2(x) = (x + 1)e^{-x}, \quad x = m_\pi |\vec{r}|$$

$$T_{ij} = 3\vec{\sigma}_i \cdot \hat{r}_{ij} \vec{\sigma}_j \cdot \hat{r}_{ij} - \vec{\sigma}_i \vec{\sigma}_j$$

Challenge to apply coordinate space regulator and preserve gauge symmetry

Regulator applied to  $0\nu\beta\beta$ -decay operator for consistency with LENPIC interaction

$$f\left(\frac{r}{R}\right) = \left(1 - \exp\left(-\frac{r^2}{R^2}\right)\right)^6$$

$R = 1.0$  fm for these results

Sensitivity to  $R$  and additional operators under development – stay tuned



Two nucleons in a Harmonic Oscillator trap with trap  $\hbar\Omega =$  basis  $\hbar\Omega$

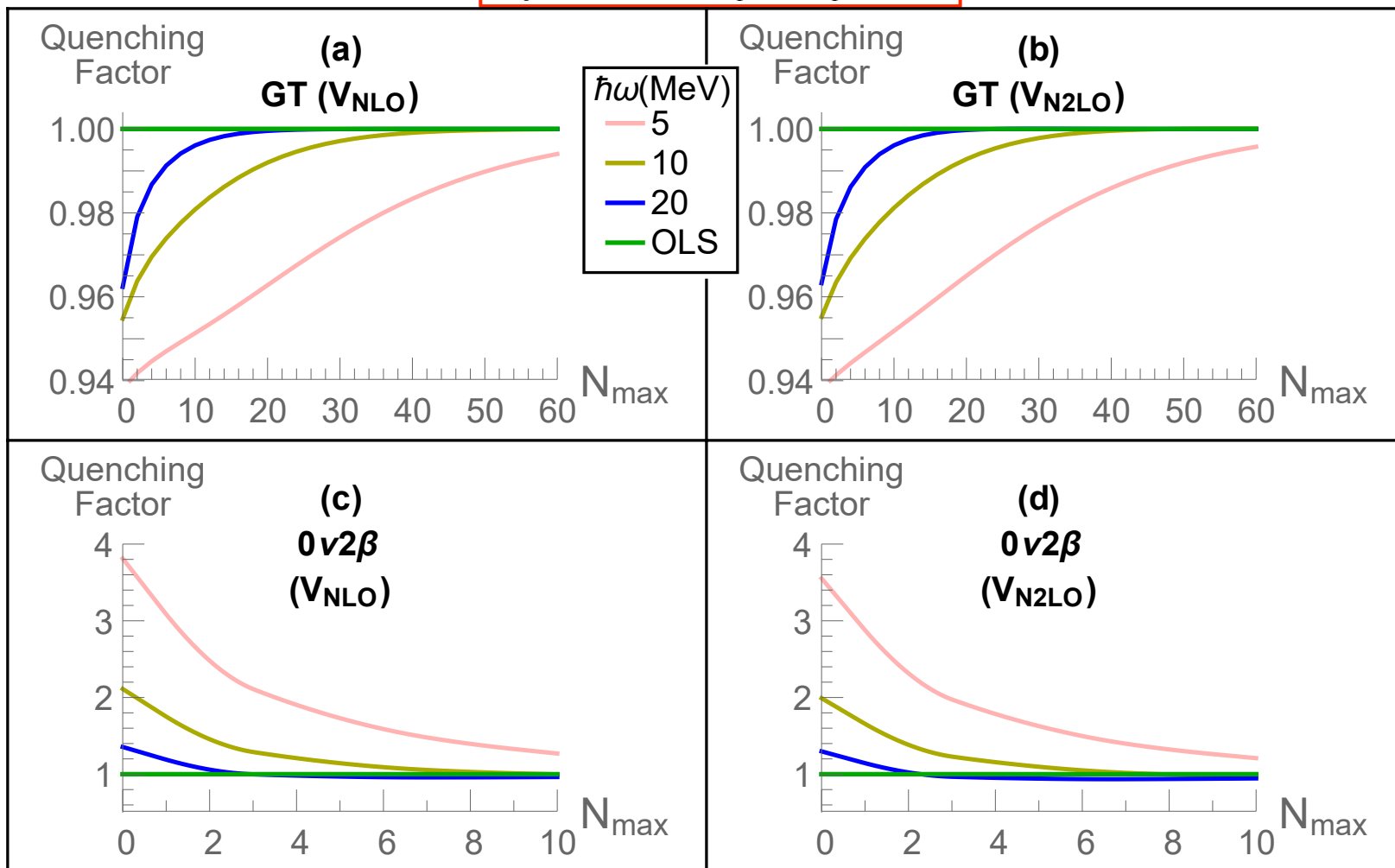
LENPIC Chiral NN interaction at N<sup>2</sup>LO with R = 1.0 fm

Comparison of GT and 0ν2β-decay matrix elements from truncation with Exact/OLS

Quenching Factor = Exact/Model

Note: 0ν2β regions at low N<sub>max</sub> show enhancement

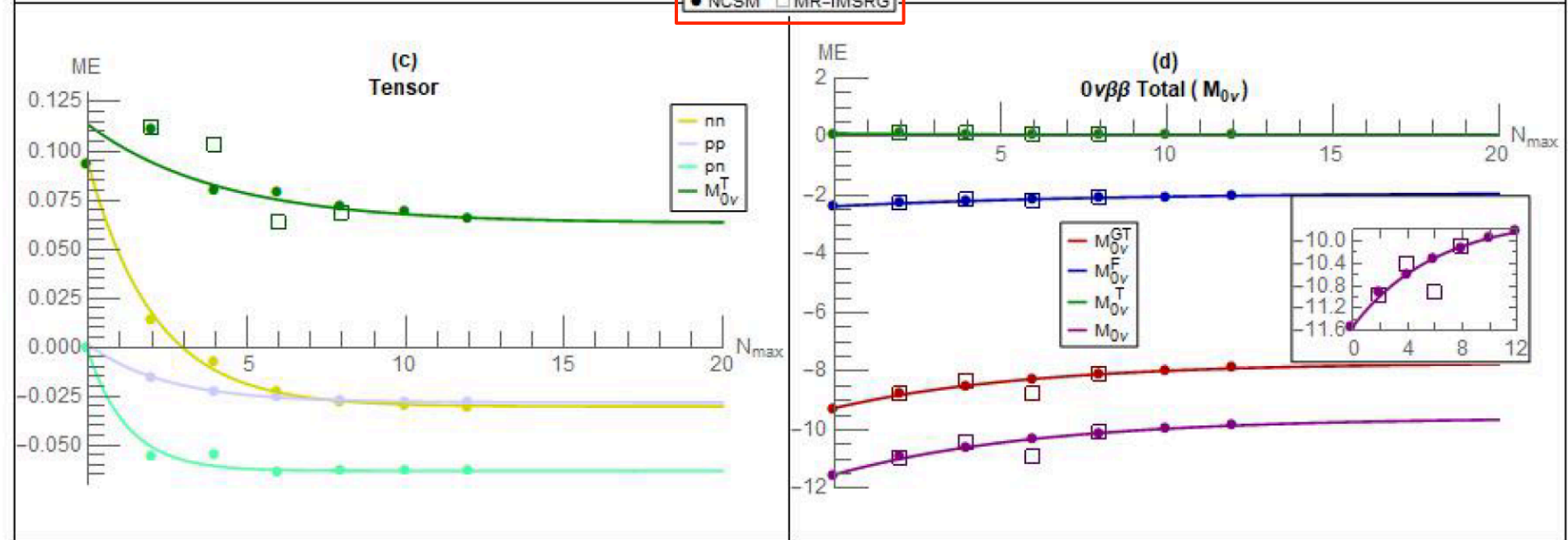
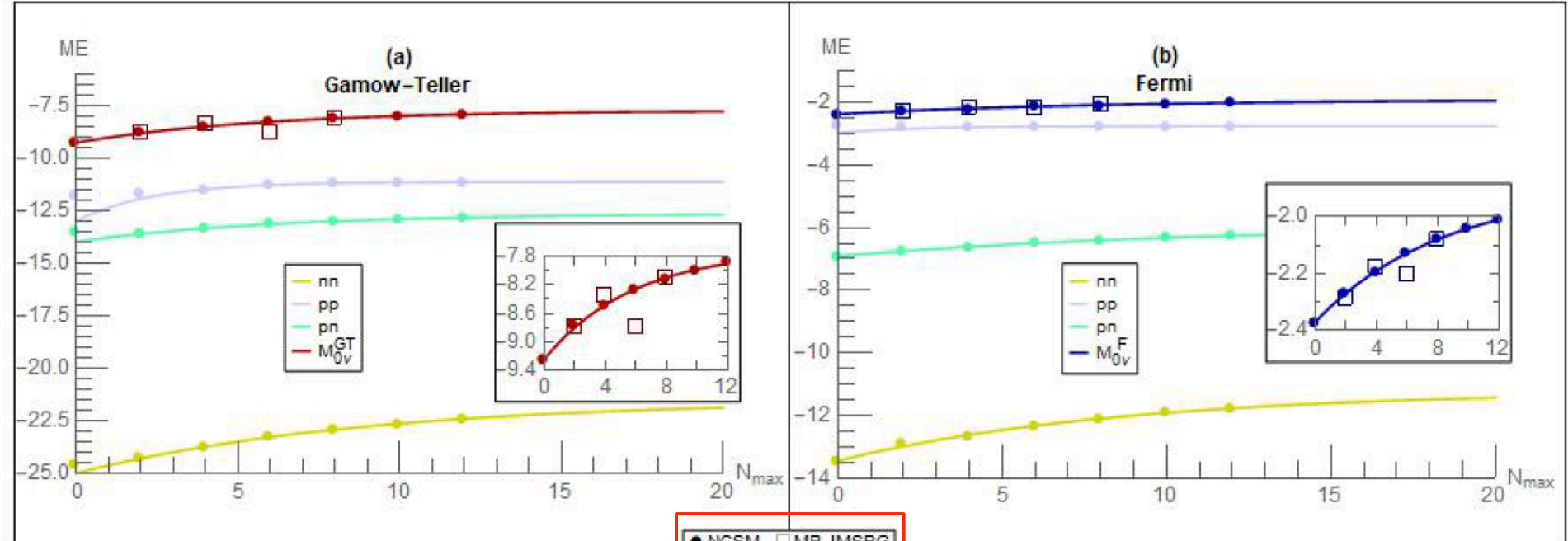
$${}^1S_0(nn \text{ gs}) \rightarrow {}^3S_1 - {}^3D_1(np \text{ gs})$$



$${}^1S_0(nn \text{ gs}) \rightarrow {}^1S_0(pp \text{ gs})$$

ISU – UNC collaboration to benchmark  $0\nu 2\beta$ -decay matrix elements for  ${}^6\text{He} \rightarrow {}^6\text{Be}$

EM500 N3LO NN interaction;  $0\nu 2\beta$ -decay ops from J. Engel and J. Menendez, Rept. Prog. Phys. 80, 046301 (2017)



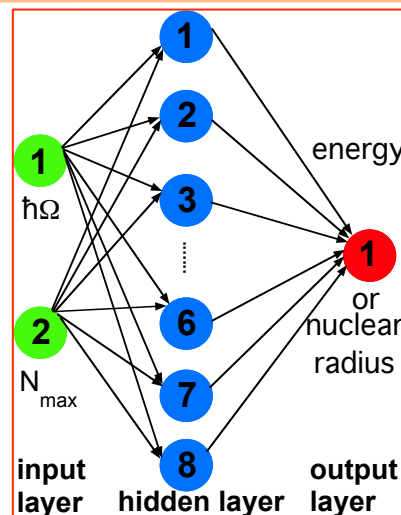
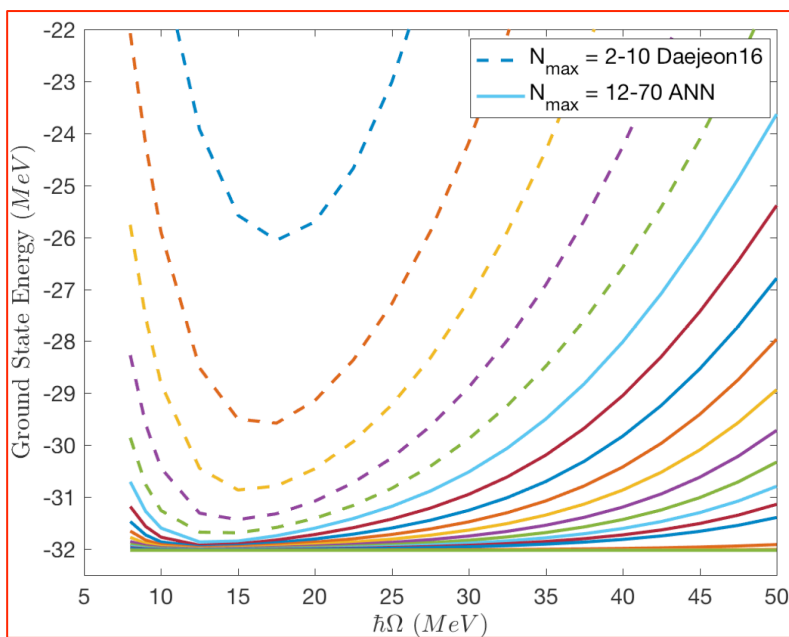
# Deep Learning for Nuclear Binding Energy and Radius

## Scientific Achievement

- Development of an artificial neural network (ANN) for extending the application range of the *ab initio* No-Core Shell Model (NCSM)
- Demonstrated predictive power of ANNs for converged solutions of weakly converging simulations of the nuclear radius
- Provided a new paradigm for matching Deep Learning with results from high performance computing simulations

## Significance and Impact

- Guides experimental programs at DOE's rare isotope facilities
- Extends the predictive power of *ab initio* nuclear theory beyond the reach of current high performance computing simulations
- Establishes foundation for deep learning tools in nuclear theory useful for a wide range of applications



## Research Details

- Predict properties of nuclei based on *ab initio* structure calculations in achievable basis spaces
- Develop artificial neural networks that extend the reach of high performance computing simulations of nuclei
- Produce accurate predictions of nuclear properties with quantified uncertainties using fundamental inter-nucleon interactions



U.S. DEPARTMENT OF  
**ENERGY**

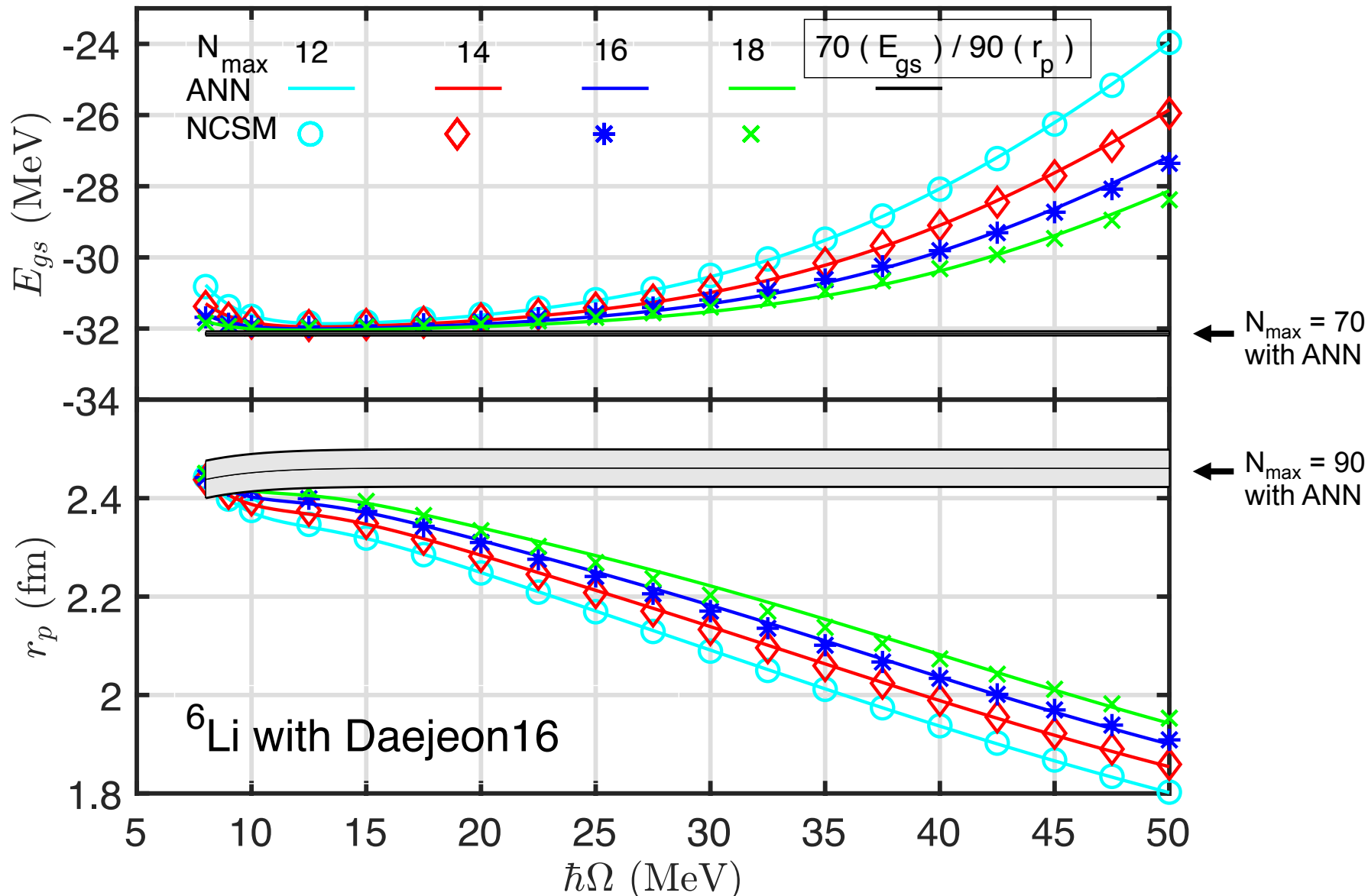
Office of  
Science

**NUCLEI**

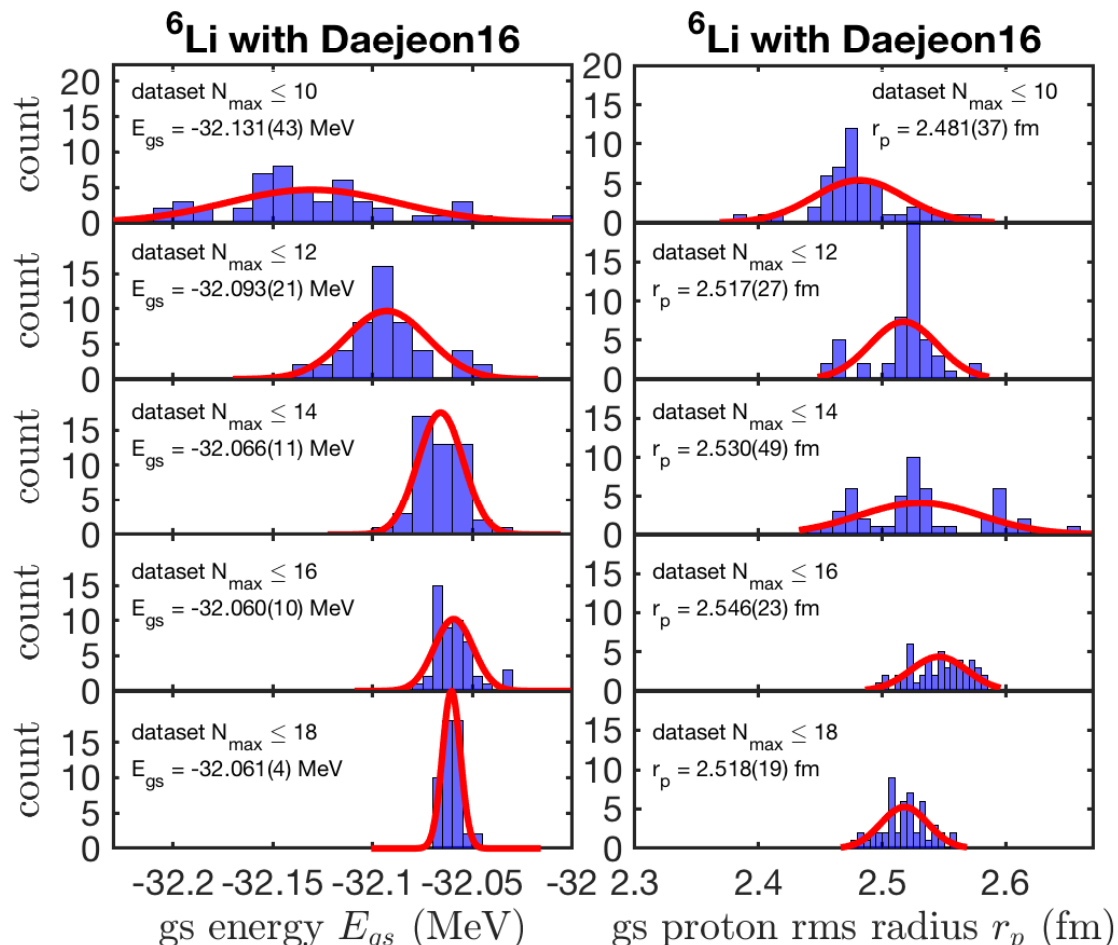
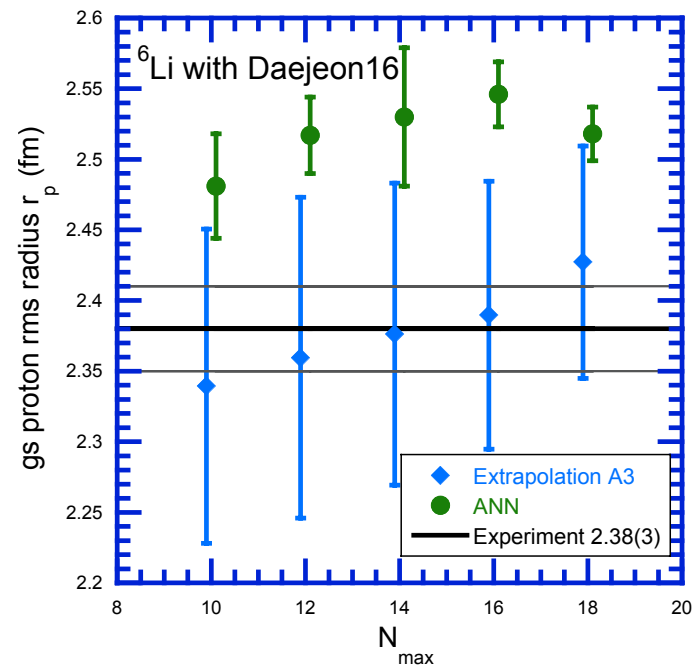
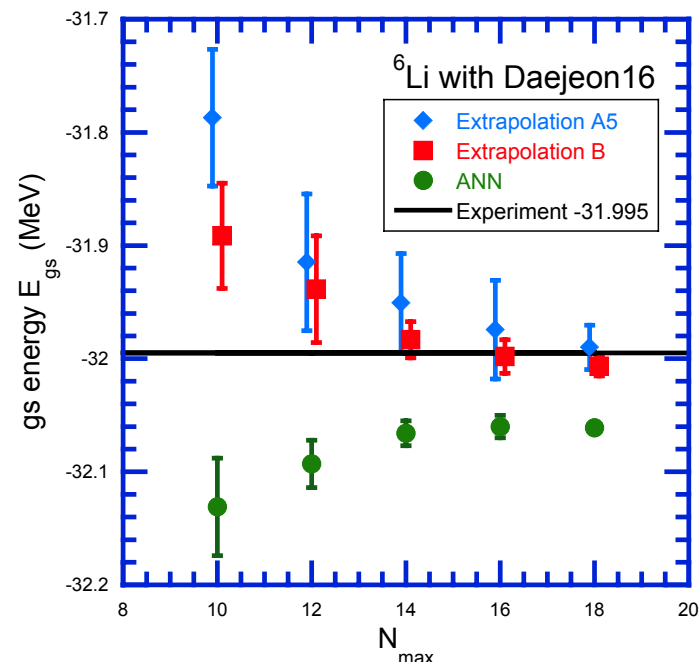
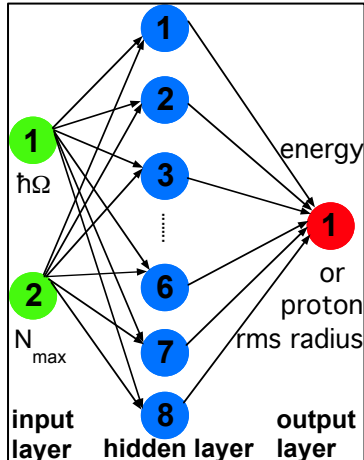
Nuclear Computational Low-Energy Initiative

Reference: G. A. Negoita, et al., in COMPUTATION TOOLS 2018, Barcelona, Spain, February 18–22, 2018; [http://www.thinkmind.org/index.php?view=article&articleid=computation\\_tools\\_2018\\_1\\_40\\_80017](http://www.thinkmind.org/index.php?view=article&articleid=computation_tools_2018_1_40_80017)  
Contacts: [jvary@iastate.edu](mailto:jvary@iastate.edu); [egng@lbl.gov](mailto:egng@lbl.gov)

Train/test ANN with NCSM data (Daejeon16) up through  $N_{\max} = 10$   
 then **predict** data for  $N_{\max} > 10$



Deep Learning:  
Extrapolation Tool for  
Ab Initio Nuclear Theory,  
G.A. Negroita, et al.,  
arXiv:1810.04009

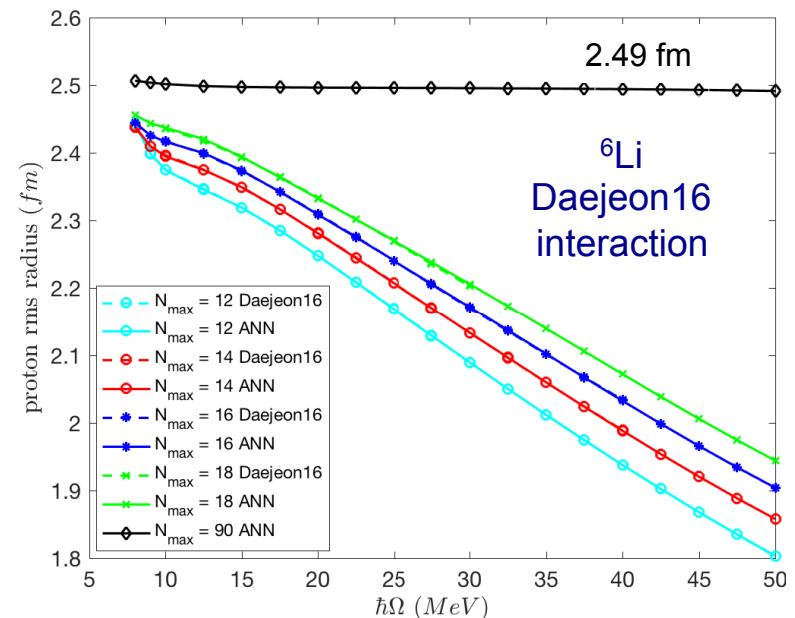
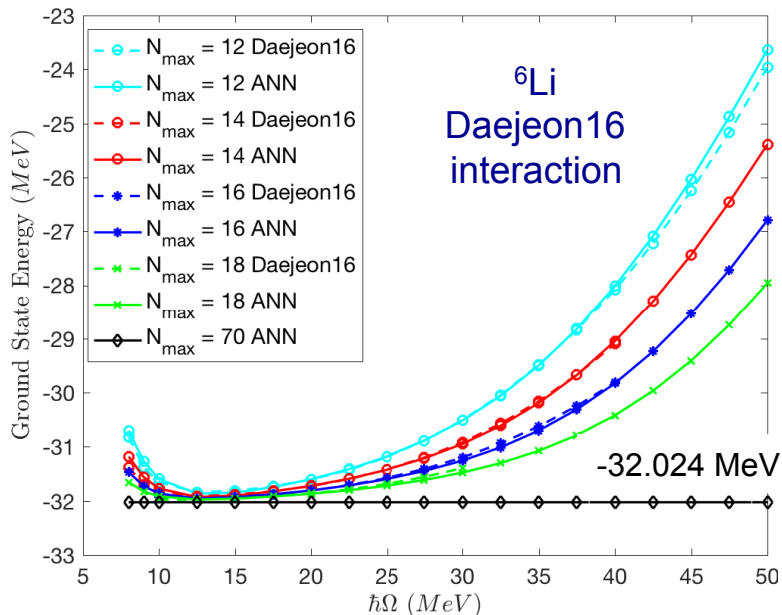


# Extrapolating to complete basis

- ▶ Perform a series of calculations with increasing  $N_{\max}$  truncation
- ▶ Empirical extrapolation binding energy

$$E_{\text{binding}}^N = E_{\text{binding}}^{\infty} + a \exp(-bN_{\max})$$

- ▶ Artificial Neural Networks trained with  $N_{\max} \leq 10$  NCSM results



Negoita, et al., Computation Tools 2018, Barcelona, Feb. 19, 2018: Best Paper award & Published online:

[http://www.thinkmind.org/index.php?view=article&articleid=computation\\_tools\\_2018\\_1\\_40\\_80017](http://www.thinkmind.org/index.php?view=article&articleid=computation_tools_2018_1_40_80017)

arXiv: 1803.03215

# Summary

**LENPIC** NN+NNN (at N2LO) paper: arXiv: 1807.02848

**OLS** for model 2-body systems: arXiv: 1809:00276

**Improved electroweak operators** in finite nuclei:

Benchmark  $A=6$  calculations of  $0\nu 2\beta$ -decay with UNC group (paper in preparation)

Postprocessor code for scalar and non-scalar observables (in testing stage)  
Iowa State – Notre Dame collaboration

**Extrapolations + uncertainties** with Artificial Neural Networks: arXiv:1810:04009

## Outlook

Expand treatment to full range of EW operators within Chiral EFT at NLO & N2LO (well underway); employ alternative bases

Extend effective interactions and EW operators to medium weight nuclei with “Double OLS” approach – talk by Bruce Barrett

Also underway: more neural network developments, . . .

# Coupling to External Probes in Chiral EFT

LENPIC collaboration (in process) – adopts momentum space regulators

## □ Nuclear Current Operators e.g. Krebs, et al., Ann. Phys. 378, 317 (2017)

Single nucleon current

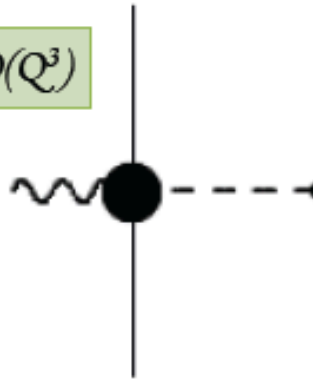
1 pion exchange

Contact term

$o(Q^0), o(Q^2)$



$o(Q^3)$



## Two-Body Currents ( $N^2LO$ )



Note: we retain dependence on external momentum transfer



Additional collaborators at Iowa State University  
Members of NUCLEI and Topical Collaboration Teams

Robert Basili (grad student)  
Weijie Du (grad student)  
Matthew Lockner (grad student)  
Pieter Maris  
Alina Negoita (grad student)  
Soham Pal (grad student)  
Shiplu Sarker (grad student)

New faculty position at Iowa State in Nuclear Theory  
Supported, in part, by the Fundamental Interactions  
Topical Collaboration – part of a cluster hire:  
**[www.physastro.iastate.edu/employment](http://www.physastro.iastate.edu/employment)**

Backup Slides

Dependence of gs energy on SRG evolution is small within the range we employ. We include sensitivity to SRG evolution parameter and extrapolation uncertainties in our estimate of numerical uncertainty of the gs energy.

